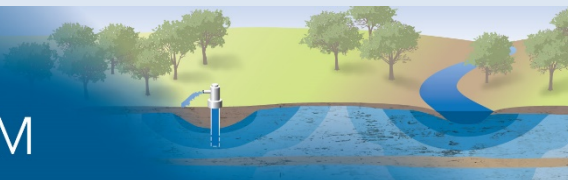


Sustainable Groundwater
Management (SGM)
GRANT PROGRAM



Implementation Grants Proposal Solicitation Package

October 2020



*CALIFORNIA NATURAL RESOURCES AGENCY'S
DEPARTMENT OF WATER RESOURCES -
DIVISION OF REGIONAL ASSISTANCE*



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FOREWORD

The California Department of Water Resources (DWR) is administering the Sustainable Groundwater Management (SGM) Grant Program Implementation Grants using funds authorized by the California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Act of 2018 (Proposition 68). This document is the Proposal Solicitation Package (PSP) for the implementation of Groundwater Sustainability Plans (GSPs).

This document is not a standalone document and the applicant will need to refer to the 2019 Proposition 68 SGM Guidelines (2019 Guidelines) for additional information. **Potential applicants are highly encouraged to read the 2019 Guidelines, PSP, and grant agreement template prior to deciding to submit an application.** The 2019 Guidelines and the grant agreement template can be found at the following link: www.water.ca.gov/sgmgrants.

A glossary of terms used throughout this PSP are available in Appendix B (Definitions) of the 2019 Guidelines.

GRANT PROGRAM WEBSITE AND OTHER USEFUL LINKS

This document, as well as other pertinent information about the SGM Grant Program, can be found at the following link: www.water.ca.gov/sgmgrants.

Other useful links are identified below.

Sustainable Groundwater Management Act (SGMA):

https://leginfo.legislature.ca.gov/faces/codes_displayexpandedbranch.xhtml?tocCode=WAT&division=6.&title=&part=2.74.&chapter=&article=

GSP Regulations:

[https://govt.westlaw.com/calregs/Browse/Home/California/CaliforniaCodeofRegulations?guid=I74F39D13C76F497DB40E93C75FC716AA&originationContext=documenttoc&transitionType=Default&contextData=\(sc.Default\)%20](https://govt.westlaw.com/calregs/Browse/Home/California/CaliforniaCodeofRegulations?guid=I74F39D13C76F497DB40E93C75FC716AA&originationContext=documenttoc&transitionType=Default&contextData=(sc.Default)%20)

Sustainable Groundwater Management Act (SGMA) Portal:

<https://sgma.water.ca.gov/portal/#intro>

Disadvantaged Community (DAC) and Economically Distressed Area (EDA) Mapping Tools:

<https://water.ca.gov/Work-With-Us/Grants-And-Loans/Mapping-Tools>

CalEnviroScreen 3.0:

<https://oehha.ca.gov/calenviroscreen/report/calenviroscreen-30>

DWR Grants Review and Tracking System (GRanTS) Application Tool:

<https://grants.water.ca.gov>

E-MAIL LIST

In addition to the website, DWR will distribute information via e-mail. If you are not already on the SGM e-mail list, please use the following link to be added to the list:

<https://water.ca.gov/Work-With-Us/Grants-And-Loans/Sustainable-Groundwater> and click the "Subscribe" button on the right side of the webpage.

CONTACT INFORMATION

For questions about this document, or other technical issues regarding SGM Grant Program, please contact DWR's Division of Regional Assistance at (916) 651-9613 or by e-mail at:

SGWP@water.ca.gov.

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ACRONYMS AND ABBREVIATIONS USED IN THIS PROPOSAL SOLICITATION PACKAGE

COD	Critically Overdrafted (Basin)
DAC	Disadvantaged Community
DWR	Department of Water Resources
EDA	Economically Distressed Area
EnvDAC	Environmentally Disadvantaged Community
FAB	Financial Assistance Branch
FE-SWRP	Functionally Equivalent Stormwater Resource Plan
GB	Gigabyte
GIS	Geographic Information System
GRanTS	Grants Review and Tracking System
GSA	Groundwater Sustainability Agency
GSP	Groundwater Sustainability Plan
IRWM	Integrated Regional Water Management
MHI	Median Household Income
OEHHA	Office of Environmental Health Hazard Assessment
PSP	Proposal Solicitation Package
SB	Senate Bill
SDAC	Severely Disadvantaged Community
SGM	Sustainable Groundwater Management
SGMA	Sustainable Groundwater Management Act
SWRP	Stormwater Resource Plan

I. INTRODUCTION

DWR is administering the Sustainable Groundwater Management (SGM) Grant Program's Implementation grant solicitations using funds authorized by Proposition 68 for projects that address drought and groundwater challenges to achieve regional sustainability for investments in groundwater recharge projects with surface water, stormwater, recycled water, and other conjunctive use projects; and/or projects that prevent or clean up contamination of groundwater that serves as a source of drinking water (Public Resources Code § 80146(a)). This PSP contains specific information regarding the process, eligibility, and required content for grant applications for the Proposition 68 grant funds for the Round 1 and Round 2 Implementation grant solicitations. DWR also issued the 2019 Guidelines that will be used to administer the grant solicitation and provide general information regarding program and eligibility requirements.

SGMA was signed into law in 2014 and amended Water Code sections 10720-10737.8, inclusive. SGMA provides the framework for sustainable groundwater management planning and implementation. SGMA fosters sustainable groundwater management in California's designated high- and medium-priority groundwater basins or subbasins, hereinafter referred to as basins, by requiring local public agencies and Groundwater Sustainability Agencies (GSAs) to develop and implement GSPs or alternatives to GSPs (Alternative). The regulations for the evaluation of GSPs and Alternatives, the implementation of GSPs and Alternatives, and coordination agreements between GSAs and/or stakeholders are hereinafter referred to as the GSP Regulations. The GSP Regulations were approved by the California Water Commission on May 18, 2016, and are codified in the California Code of Regulations, title 23, sections 350-358.4, inclusive. The SGMA text and GSP Regulations can be found at the links listed in the Foreword.

II. FUNDING

Proposition 68 authorizes the Legislature to appropriate a total of \$240 million to DWR for drought and groundwater investments to achieve regional sustainability. Of this, at least \$120 million is for drought and groundwater investments to achieve regional sustainability through investments in groundwater recharge with surface water, stormwater, recycled water, and other conjunctive use projects, and projects to prevent or clean up contamination of groundwater that serves as a source of drinking water (Public Resources Code § 80146(a)). After program delivery and bond issuance costs, at least \$103 million will be made available for grant awards broken up into two rounds of grant solicitations. Round 1 will include \$26 million for grant awards to critically overdrafted (COD) basins only and Round 2 will include at least \$62 million for grant awards and is open to all medium and high priority basins, including COD basins, which meet the eligibility requirements listed in Section III. Approximately \$15 million (in addition to \$62 million) will be available in Round 2 for projects that solely benefit and are located within Underrepresented Communities that meet the eligibility requirements listed in Section III.

DWR will solicit proposals to award funding on a competitive basis for tasks and activities that help the basins reach sustainability through investments in groundwater recharge and/or projects that prevent or clean up contamination of a groundwater that serves as a source of drinking water. Eligible project types and eligible tasks are described further in Section III.B.

The minimum and maximum grant award amounts for each grant solicitation (e.g., not a maximum combined total for both rounds) are:

- Minimum Grant Amount – \$2 million per basin
- Maximum Grant Amount – \$5 million per basin

IMPLEMENTATION – ROUND 1

- \$21 million for COD basins only that meet the eligibility requirements outlined in the 2019 Guidelines and those in Section III of the PSP.

- \$5 million for Projects that are located within and solely benefit an Underrepresented Community within a COD basin, address the needs of those communities, have a minimum of five letters of support from the community, and meet the requirements outlined within the Public Resources Code section 80146(a).

IMPLEMENTATION – ROUND 2

- At least \$62 million for medium and high priority basins that meet the eligibility requirements outlined in the 2019 Guidelines and those in Section III of the PSP.
- At least \$15 million for projects that solely benefit and are located within an Underrepresented Community, address the needs of those communities as outlined in the 2020 Disadvantaged Community Initiative Statewide Needs Assessment Report, have a minimum of five letters of support from the community, and meet the requirements outlined within the Public Resources Code section 80146(a).

A. Local Cost Share

A minimum match of 25 percent (%) of the project cost as local cost share is required. Project expenses must be incurred as listed below:

- Round 1 COD Basins: after January 31, 2020, the due date for the COD basin GSPs
- Round 2: after January 31, 2022, the due date for medium and high priority basin GSPs

The local cost share cannot have contributed to the cost share of another grant awarded project, to be considered as local cost share. Local cost share must meet the conditions outlined in Section II.B. of the Guidelines and the definitions of “local cost share” contained in Appendix B of the 2019 Guidelines. The local cost share requirement for projects benefiting a severely disadvantaged community (SDAC), DAC, EDA, Tribes, and more (collectively referred to as an Underrepresented Community) may be waived or reduced as shown in Table 1 below. For definitions of SDAC, DAC, EDA, and Tribes, see Appendix B of the 2019 Guidelines. Equity is the guiding principle for the requirements to obtain a cost share reduction or waiver.

SDAC, DAC, EDA, Tribes, and more, described below, will collectively be referred to as an Underrepresented Community within the Implementation PSP.

An Underrepresented Community is defined as:

A DAC, SDAC, and EDA (as defined in the 2019 Guidelines); Tribal Lands/Tribes (as defined in the 2019 Guidelines); California Communities Environmental Health Screening Tool (CalEnviroScreen 3.0) Classified DACs (Environmentally Disadvantaged Communities or EnvDACs)*; and Fringe Communities**.

*CalEPA (through the Office of Environmental Health Hazard Assessment (OEHHA)) developed a mapping tool that assesses environmental, health, and socioeconomic indicators to produce a score for each census tract in the State. A link to this tool can be found in the Foreword. Each tract receives a Pollution Burden scores (Exposures and Environmental Effects) and a Population Characteristics score (Sensitive Populations and Socioeconomic Factors). The average Pollution Burden and Population Characteristics scores are multiplied together to produce an overall CalEnviroScreen score. CalEPA designates the top 25% scoring census tracts as EnvDACs. Census tracts that score the highest 5% of Pollution Burden scores, but do not have an overall CalEnviroScreen score because of unreliable socioeconomic or health data, are also designated as DACs (found to be 22 census tracts in the 2018 CalEnviroScreen version 3.0).

**A Fringe Community are those communities that do not meet the established DAC, SDAC, and EDA definitions, but can show that they score in the top 25% of either the Pollution Burden or Population Characteristics score.

DWR will use the information presented in the applications to evaluate whether the project provides benefit to an Underrepresented Community to determine whether the required cost share is waived or reduced. The required local cost share percent and the cost share waiver granted, if any, will be identified in the grant award notification letter to the Grantee if the application is awarded. Additional information will be requested in the grant award notification letter if DWR cannot determine the eligible cost share waiver based upon the information provided in the application. The final determination of the cost share waiver for those that must submit additional information will be documented prior to executing a grant agreement.

Based on the application material submitted and, if applicable, any additional information requested by DWR, the Chief of DWR’s Financial Assistance Branch (FAB) will determine the appropriate local cost share reduction based on the table below. Local cost share requirement is determined for the grant as a whole regardless of the number of individual components proposed. The Chief’s decision in this matter is final.

TABLE 1 – ELIGIBILITY FOR COST SHARE WAIVER

0% Local Cost Share:	The Project is located within an Underrepresented Community and solely benefits the Underrepresented Community.
5% Local Cost Share:	The Project is not located within an Underrepresented Community, but solely benefits the Underrepresented Community OR located within an Underrepresented Community, but does not solely benefit those communities.
15% Local Cost Share:	The Project is not located within an Underrepresented Community, but a minimum of 50% of the project benefits the Underrepresented Community.
25% Local Cost Share:	The Project is not located within an Underrepresented Community and does not benefit an Underrepresented Community.

NOTE: The minimum local cost share is calculated based upon the total project cost. For this program, the total project cost is the grant funds plus the local cost share amounts. To calculate the local cost share percent: local cost share/ (grant funds + local project cost).

B. Eligible Costs and Payment

Eligible reimbursable costs are those that were incurred by the Grantees after the grant execution date, meet the conditions of the “Eligible Costs” as outlined in Section III., and defined as “reimbursable costs” in Appendix B of the 2019 Guidelines. DWR’s standard method of payment is reimbursement in arrears. Funds are disbursed after DWR approves the submittal of the DWR invoice form and required backup documentation by the Grantee. Grantees shall invoice and report on a quarterly basis only. Additionally, DWR reserves the right to withdraw awarded funds due to lack of responsiveness on the part of the Grantee in submitting quarterly invoices and reporting and associated deliverables.

The standard method of reimbursement is called the Cost Share Drawdown, in which the Grantee must report all required local cost share funds for a budget category **before** reimbursement will be processed. Conversely, the Concurrent Drawdown method, in which the Grantee can request reimbursement and report local cost share funds, can be approved if the Grantee is a nonprofit organization representing an Underrepresented Community or if the Grantee can demonstrate a significant cash-flow need. See the 2019 Guidelines Appendix B for more information on reimbursement methods. The Chief of FAB will have the final determination on whether a Grantee has demonstrated a significant cash-flow need. Costs associated with the development of the GSA and costs associated with the development and submittal of a grant application are not eligible.

III. ELIGIBILITY

Applications for the Implementation Grant Solicitations must meet all applicable eligibility criteria to be considered for grant funding as described in the 2019 Guidelines, Section III. Additional eligibility requirements are described below and identified in Question 5 in Table 4 – Grant Application Checklist,

of this PSP. A comprehensive eligibility checklist is provided in Table 3 – SGM Implementation Grant Eligibility Checklist, as a reference for applicants.

A. Eligible Applicants

1. Round 1 Implementation Grant Solicitation

Eligible applicants for the Round 1 Implementation Grant Solicitation are GSAs; member agencies of GSAs; an entity that represents a GSA(s) which can include public agencies, non-profit organizations, public utilities, Federally recognized Indian Tribes, State Indian Tribes listed on the Native American Heritage Commission’s Tribal Consultation list, or mutual water companies; and agencies with an approved Alternative. Public utilities and mutual water companies must provide justification that the proposed project has a clear and definite public purpose and shall benefit the customers of the water system and not the investors (See Water Code § 79712(b)(1)). All applicants must comply with the eligibility criteria outlined in the 2019 Guidelines. Those entities representing a GSA(s) must have a letter of support from each GSA they represent. All Round 1 applicants must be within a COD basin with an adopted GSP that has been submitted to DWR for review and deemed complete by DWR (posted to the SGMA Portal by DWR) or an approved Alternative. Basins that are adjudicated, in the process of becoming adjudicated, or considered probationary under SGMA by the State Water Resources Control Board (State Water Board) at or after the time of application submittal **are not** eligible to apply for or receive grant funding. The project area and service area must be within the most current DWR Bulletin 118 basin or a non-adjudicated portion of a basin that is designated as COD by the latest SGMA Basin Prioritization at the time of application submittal. Go to the SGMA website link provided in the Foreword for additional information on Bulletin 118, Basin Prioritization and CODs.

Only one application will be accepted per basin. Applicants who apply on behalf of a GSA(s) are required to obtain and submit a letter of support from each GSA they represent.

Applicants are encouraged to work with the stakeholder(s) and other non-member agency(-ies) of the GSA(s) in their basin(s) (e.g., resource conservation districts, nonprofit organizations, Tribes, etc.) that have potential activities, tasks, and/or components that are complimentary to the overall grant application and proposed Project. These activities, tasks, and/or components should be included within the proposed application with the GSA, member agency(-ies) of a GSA, or approved Alternative as the applicant and potential Grantee. The stakeholder(s) and/or non-member agency(-ies) would be listed as a cooperating entity. Project proponents would access grant funding through their relationship with the grant applicant, at DWR’s discretion. DWR strongly recommends working with all potential stakeholders within the basin(s) to ensure successful implementation of the GSP or approved Alternative.

The grant applicant is the agency submitting the application (e.g., GSA) on behalf of the basin(s). The grant applicant is also the same agency that would enter into an agreement with the state should the application be successful. If there is more than one eligible agency within a basin, an eligible agency may be part of the proposals as a cooperating entity but must identify a single entity that will act as the grant applicant and submit a basin-wide application and receive the grant on behalf of the basin.

2. Round 2 Implementation Grant Solicitation

Eligible applicants for the Round 2 Implementation Grant Solicitation are GSAs; member agencies of GSAs; an entity that represents a GSA(s) which can include public agencies, non-profit organizations, public utilities, Federally recognized Indian Tribes, State Indian Tribes listed on the Native American Heritage Commission’s Tribal Consultation list, or mutual water companies; and agencies with an approved Alternative. Public utilities and mutual water companies must provide justification that the proposed project has a clear and definite public purpose and shall benefit the customers of the water system and not the investors (See Water Code § 79712(b)(1)). All applicants must comply with the eligibility criteria outlined in the 2019 Guidelines. Those entities representing a GSA(s) must have a letter of support from each GSA they represent. All Round 2 applicants must be within a medium or high priority basin(s) (including COD basins) with an adopted GSP that has been submitted to DWR for review and deemed complete by DWR (posted to the SGMA Portal by DWR) or an approved Alternative. Basins that are adjudicated, in the process of becoming adjudicated, or considered

probationary under SGMA by the State Water Board at or after the time of application submittal **are not** eligible to apply for or receive grant funding. The project area and service area must be within the most current DWR Bulletin 118 basin or a non-adjudicated portion of a basin that are designated as medium- or high-priority by the latest SGMA Basin Prioritization. Please check the SGMA link provided in the Foreword for additional information on Bulletin 118, Basin Prioritization and CODs.

Only one application will be accepted per basin. Applicants who apply on behalf of a GSA(s) are required to obtain and submit a letter of support from each GSA they represent.

Applicants are encouraged to work with the stakeholder(s) and other non-member agency(-ies) of the GSA(s) in their basin(s) (e.g., resource conservation districts, nonprofit organizations, Tribes, etc.) that have potential activities, tasks, and/or components that are complimentary to the overall grant application and proposed Project. These activities, tasks, and/or components should be included within the proposed application with the GSA, member agency(-ies) of a GSA, or approved Alternative as the applicant and potential Grantee. The stakeholder(s) and/or non-member agency(-ies) would be listed as a cooperating entity. Project proponents would access grant funding through their relationship with the grant applicant, at DWR's discretion. DWR strongly recommends working with all potential stakeholders within the basin(s) to ensure successful implementation of the GSP or approved Alternative.

The grant applicant is the agency submitting the application (e.g., GSA) on behalf of the basin(s). The grant applicant is also the same agency that would enter into an agreement with the state should the application be successful. If there is more than one eligible agency within a basin, an eligible agency may be part of the proposals as a cooperating entity but must identify a single entity that will act as the grant applicant and submit a basin-wide application and receive the grant on behalf of the basin.

B. Eligible Project Types

Eligible project types for the SGM Implementation Grants must be consistent with the purpose of Proposition 68, Chapter 11.6, which include activities and/or tasks that consist of the development of groundwater recharge projects with surface water, stormwater, recycled water, and other conjunctive use projects; and/or projects that prevent or clean up contamination of groundwater that serves as a source of drinking water (Public Resources Code § 80146(a)), herein referred to as "Proposition 68 preference". Other eligible project types are projects and programs that support water supply reliability, water conservation, and water use efficiency and water banking, exchange, and reclamation.

Eligible projects include those activities associated with the implementation of an adopted GSP or approved Alternative and must also be listed within an adopted GSP or approved Alternative. Activities within the proposed project should also be consistent with the SGMA Guidance Documents located here: <https://water.ca.gov/Programs/Groundwater-Management/SGMA-Groundwater-Management/Best-Management-Practices-and-Guidance-Documents>.

Projects that are in basins determined to be probationary under SGMA by the State Water Board at or after the time of application submittal **are not** eligible for this grant program. The project area and service area must be within the most current DWR Bulletin 118 basin or a non-adjudicated portion of a basin that are designated by DWR as medium or high priority basins, including COD basins, by the latest SGMA Basin Prioritization.

The use of the term "project" refers to the activities and/or tasks related to the implementation of a GSP and can include multiple components and/or tasks. A proposal, or project for purposes of this PSP, refers to all the supporting documentation submitted that details the actions that are proposed for the funding. The application will describe a single proposal/project; however, each application may contain multiple components and tasks that collectively makeup a single proposal/project. See the 2019 Guidelines, Appendix B for further definitions of components and project.

The Project or Component(s) must contain a minimum of three multiple benefits. One benefit must address a Proposition 68 preference. A second benefit must address the sustainability goal within the

adopted GSP or approved Alternative. A third “free” benefit should meet benefits of multiple planning documents (e.g., Stormwater Resources Plans (SWRP), Integrated Regional Water management (IRWM) Plans, Draft Water Resiliency Portfolio, etc.) Multiple benefits can include, but are not limited to:

- Addresses impacts of current and future droughts and other water shortages
- Addresses climate change planning (e.g., seawater intrusion, sea-level rise, etc.)
- Community involvement, engagement, and education
- Decreased flood risk by reducing runoff rate and/or volume into rivers, lakes, or streams
- Enhanced and/or created recreational and public use areas
- Environmental protection and improvement
- Habitat enhancement and/or creation
- Increased and enhanced the beneficial uses of local water supplies
- Increased use in recycled water to augment water demand
- Increased urban green space
- Increased sustainable yield
- Nonpoint source pollution control
- Reduced dependence on imported water
- Reduced energy use, greenhouse gas emissions, or provide a carbon sink
- Reduced sanitary sewer overflows
- Reduced heat island effect
- Reduced overdraft
- Reduced water demand
- Reestablish natural water drainage and treatment
- Surface water, or dry weather runoff capture and reuse, treatment, and/or infiltration
- Soil health improvements and/or building organic matter
- Stream or riparian enhancement and/or instream flow augmentation
- Upgrade and/or expansion of a wastewater treatment plant(s) to augment local water demand
- Water conservation
- Water supply reliability
- Wetland enhancement and/or creation

In Attachment 3 – Work Plan, the applicants must provide information to demonstrate eligibility and provide assurances that the work described in the proposed project is not duplicative with any other previous grant funded project.

Examples of eligible project activities, tasks, and/or components can include, but are not limited to, the following:

- Groundwater recharge projects with surface water, stormwater, recycled water, and other conjunctive use projects
- Groundwater contaminant remediation or prevention projects for groundwater that serves as a source of drinking water
- Construction, rehabilitation, or expansion of conveyance facilities for groundwater recharge projects
- Wastewater treatment and water recycling facility upgrades for groundwater recharge project sources
- Stormwater and runoff capture projects that support groundwater recharge
- Groundwater recharge facility expansion
- Seawater barrier injection wells
- Groundwater recharge projects that address groundwater dependent ecosystems (GDEs)
- Projects and programs that support water supply reliability, water conservation, water use efficiency and water banking, exchange, and reclamation
- Planning, design, and environmental documentation only as a task of a Project or Component of an overall project (not a standalone task).

Examples of ineligible project activities, tasks, and/or components can include, but are not limited to, the following:

- Gifts of public funds to a private person or entity (e.g., gift certificates and other incentives to attend public meetings, complete surveys, etc.)
- Low-flow and/or high-density appliances
- Water markets and trading programs
- Rebate programs
- Travel expenses
- Food and drink
- Per diem expenses
- Overhead/Indirect/Markup – for Grantees, consultants/contractors, or their subs.

NOTE: Senate Bill 985 (SB 985) (Water Code § 10562(b)(7)) requires that all projects that include stormwater and dry weather runoff capture be listed in a SWRP or Functionally Equivalent SWRP (FE-SWRP) and the SWRP or FE-SWRP must be incorporated into an adopted Integrated Regional Water Management Plan (IRWM) for the region the project is located. The State Water Board defines stormwater as “the temporary surface water runoff and drainage generated by immediately preceding storms” and defines stormwater and dry weather runoff capture as “to intercept, store, manage, and use stormwater and dry weather runoff, thereby reducing the volume of runoff exiting a site”. All proposals using stormwater runoff, dry weather runoff, and potential peak flows should review SB 985 and the State Water Board’s SWRP Guidelines. Applicants should consult their legal counsel regarding this topic.

IV. SOLICITATION PROCESS AND SCHEDULE

The solicitation periods for Round 1 and Round 2 Implementation are listed in the table below. These dates are estimated and are subject to change. Any change or update to the schedule will be posted on the SGM Grant Program website. Updates may also be sent through email announcements. To be placed on the SGM Grant Program email contact list, please use the link listed in the Foreword.

TABLE 2 – SCHEDULE FOR SGM IMPLEMENTATION – ROUNDS 1 AND 2 GRANT SOLICITATION

Milestone or Activity	Tentative Schedule ²
Round 1 Schedule	
Final 2020 PSP posted to public	October 30, 2020
Round 1 Grant Solicitation Opens	Tentative date between November 9, 2020 and November 16, 2020
Application Workshop	December 2020
Round 1 Grant Solicitation Closes	January 8, 2021
Public Review of Draft Funding List	March 2021
Final Awards	May 2021
Round 2 Schedule	
Round 2 Grant Solicitation Opens	Spring 2022
Public Review of Draft Funding List	Summer 2022
Final Awards	Fall 2022

² Dates are subject to change and will be determined based on number of comments received for the draft document, number of applications received, amount of funds requested, and number of grant awards given.

An applicant workshop will be conducted to address questions and to provide general assistance to potential applicants preparing grant applications. Details of the workshop will be provided via the SGM website, email distribution list, and/or news release. In addition to the informational workshop, applicants are encouraged to seek assistance from DWR staff in understanding SGM requirements and completing grant applications. Questions can be submitted via the contact information provided in the Foreword on Page 2.

V. APPLICATION INSTRUCTIONS

This section provides instructions for preparing and submitting an application and consists of two subsections: A. What to Submit and B. How to Submit. It is important that applicants follow the Application Instructions to ensure that their application will address all the required elements. Applicants are reminded that once the application has been submitted to DWR, any privacy rights as well as other confidentiality protections afforded by law with respect to the application package, will be waived. Prior to beginning the application, applicants should verify that they meet the Eligibility Criteria outlined in the 2019 Guidelines, Section III.C. and in Table 3 below.

TABLE 3 – SGM IMPLEMENTATION GRANT ELIGIBILITY CHECKLIST

Criteria Type	Eligibility Criteria	Additional Details	Place to Provide Information	Criteria Met (Yes, No, or NA¹)
Applicant Eligibility	Is the applicant eligible?	2019 Guidelines & PSP Section III.A.	Attachment 2	
	Agricultural Water Management Compliance Link: https://water.ca.gov/Programs/Water-Use-And-Efficiency (2015 and/or 2020 AWMPs are applicable).	2019 Guidelines Section III.C. & PSP Section V.B.	Attachment 2	
	California Statewide Groundwater Elevation Monitoring (CASGEM) Compliance Link: https://water.ca.gov/Programs/Groundwater-Management/Groundwater-Elevation-Monitoring--CASGEM . Basin Prioritization information can be found at: https://water.ca.gov/Programs/Groundwater-Management/Basin-Prioritization	2019 Guidelines Section III.C. & PSP Section V.B.	Attachment 2	
	Climate Change Compliance	2019 Guidelines Section III.C.	GraNTS Application	
	Consistency with the Delta Plan	2019 Guidelines Section III.C.	Attachment 2	
Applicant Eligibility (cont.)	Groundwater Management Compliance, SGMA Compliance	2019 Guidelines Section III.C.	Self-Cert, Attachment 2	
	Open and Transparent Water Data	2019 Guidelines Section III.C.	Self-Cert	
	Public Utilities and Mutual Water Companies Compliance	2019 Guidelines Section III.C.	Attachment 2	
	SWRP Compliance SB 985 Link: https://www.waterboards.ca.gov/water_issues/programs/grants_loans/swrp/	2019 Guidelines Section III.C. & PSP Section V.B.	Attachment 2	
	Surface Water Diverter Compliance	2019 Guidelines Section III.C. & PSP Section V.B.	Attachment 2	
	Sustainable Water Use and Demand Reduction Compliance	2019 Guidelines Section III.C.	Self-Cert	
	Urban Water Management Compliance Link: https://water.ca.gov/Programs/Water-Use-And-Efficiency/Urban-Water-Use-Efficiency/Urban-Water-Management-Plans . (2015 and/or 2020 UWMPs are applicable).	2019 Guidelines Section III.C. & PSP Section V.B.	Attachment 2	
	Water Metering Compliance	2019 Guidelines Section III.C.	Self-Cert	
Proposal Eligibility	Only one application per basin OR Applicant is acting as the sole GSA over multiple basins	PSP Section III.A.	NA	

	Does the proposal include design, construction, operation, mitigation, or maintenance of Delta conveyance facilities?	PSP Table 4	GGrant Application	
	Does the proposal include acquisition of water except for projects that will provide fisheries or ecosystem benefits or improvements that are greater than required current applicable environmental mitigation measures or compliance obligations?	PSP Table 4	GGrant Application	
	Does the proposal include any share of the costs of remediation recovered from parties responsible for the contamination of a groundwater storage aquifer?	PSP Table 4	GGrant Application	
	Does the proposal include projects or groundwater planning activities associated with adjudicated groundwater basins?	PSP Table 4	GGrant Application	
Project Type Eligibility	Does the proposed planning project(s) include the development and/or implementation of a GSP?	2019 Guidelines and PSP Section III.B.	Attachment 3	
	Is the project area and service area within the most current DWR Bulletin 118 basin or a non-adjudicated portion of a basin that are designated by DWR as high or medium priority basins?	2019 Guidelines and PSP Section III.B.	Attachment 3	
	Is the project consistent with Program Preferences?	2019 Guidelines Section V.	NA	
	If the project is a stormwater and/or dry weather runoff capture project, is it included in a SWRP that has been incorporated into an Integrated Regional Water Management (IRWM) plan?	2019 Guidelines Section III.C and PSP Table 4	GGrant Application, Attachment 2	
	Does your project provide groundwater recharge; prevent or clean up contamination of groundwater that serves as a source of drinking water; support water supply reliability and water conservation; and supports water use efficiency and water banking, exchange, and reclamation?	PSP Section III. B		

¹ NA = not applicable

A. What to Submit

Applicants must submit a complete SGM Grant Application during the open filing phase as shown in Table 2 – Schedule for SGM Grant Program Implementation Grant Solicitation. The grant application consists of five sections or “Tabs”, as follows:

- Applicant Information Tab
- Projects Tab
- Questions Tab
- Climate Risk in Investments Tab
- Attachments Tab

Additional details regarding the “Tabs” is outlined in Table 4 – Grant Application Checklist, which is provided as a guide for applicants to ensure the required information is submitted for a complete application.

If an applicant applies for multiple project components, the applicant must ensure that the “Tabs” are complete for each of the project components within the grant application. For example, proposals may include separate project components for different Underrepresented Communities within a basin or multiple project components for a single basin within a single application. However, collectively, the project budget must not exceed \$5 million. Each application must have a singular defined project that can be comprised of multiple project components and those components must be related to one another and be coherent.

B. How to Submit

Applicants must submit a complete application online using DWR’s GGrant electronic submittal tool, please use the link listed in the Foreword. GGrant can only be accessed with Microsoft Edge and Google Chrome. The name of these grant solicitations in GGrant is “SGM Implementation – Round 1” or “SGM Implementation – Round 2” depending on the grant solicitation in which you are applying. To

access the application, applicants must register and have an account in GRanTS if they have not already done so. The online application will be available no later than the date specified on the Program’s website, according to Table 2 – Schedule for SGM Grant Program Implementation Grant Solicitations. If an applicant has questions as to the content or the information requested in the grant solicitation, or questions or problems with GRanTS, please refer to the phone number or email listed in the Foreword.

When uploading an attachment in GRanTS, the following attachment title naming convention must be used: Att#_SGM_AttachmentName_#ofTotal#, where “#ofTotal#” identifies the number of files that make up an attachment, where “#” is the number of a file and “Total#” is the total number of files submitted in the attachment. This naming convention will be repeated in more detail for each Attachment in the following pages.

File size for each attachment submitted via GRanTS is limited to 2 gigabytes (GB). Breaking documents into sections or chapters so that each are less than 2 GB will aid in uploading files. Acceptable file formats are: PDF, MS Word, MS Excel, or MS Project. However, DWR prefers and highly encourages applicants to use PDF files. All portions of the GRanTS application must be received in the open filing phase. Submittals received outside the open filing phase may not be reviewed or considered for funding. The GRanTS system will allow applicants to resubmit any attachments before the close of the open filing phase. Applicants must notify DWR via SGWP@water.ca.gov when the proposal submittal is ready for DWR’s review.

Note: Please provide answers to only the questions listed in Table 4. Do not answer questions that appear on the screen in GRanTS that are not listed below, unless marked with an asterisk ().*

TABLE 4 – GRANT APPLICATION CHECKLIST
APPLICANT INFORMATION TAB
<i>The following information is general and applies to the applicant and the overall proposal. Specific project information should be detailed on separate project component tabs provided in the GRanTS application. Applicants must enter all information listed in the Information Tab of this checklist (Table 4) along with any field marked with an asterisk (*).</i>
<u>Organization Name</u> : Provide the name of the Agency/Organization responsible for submitting the application. Should the proposal be successful, this Agency/Organization will be the Grantee.
<u>Point of Contact</u> : Please type the First Name, Last Name, Email Address, Phone Number, Division Name, Address, City, State, and Zip of the Point of Contact person. Should the proposal be successful, this person will be the Point of Contact for the grant agreement.
<u>Point of Contact Position Title</u> : Provide the title of the Point of Contact person. (Maximum Character Limit: 50)
<u>Proposal Name</u> : Provide the title of the proposal. This title cannot be changed for the life of the grant and should NOT include the grant solicitation title anywhere. (Maximum Character Limit: 50)
<u>Proposal Objective</u> : Provide the objective of the proposal. The objective should include the project description, purpose, goals, and targets of the proposed project. The reader should be able to understand what is being proposed (project description), where the project will be located, the purpose/why the project is needed, and how (goals and targets) those needs will be met. (Maximum Character Limit: 2,000)
PROPOSAL BUDGET
<i>For the proposal, the following budget items should be taken from Table 6A or 6B – Grant Proposal Summary Budget</i>
<u>Other Contribution</u> : Provide the amount of other funds (such as other State grants, Federal grants, etc.) not included in the categories as listed below. If there is no other contribution, enter zero. Other Contribution costs are not considered part of the total project cost.
<u>Local Contribution (Cost Share)</u> : Provide the local cost share that will be committed to the Project. The SGM requires a minimum local cost share of 25% of the total Project cost (grant funds plus local cost share) unless the Project and/or applicant qualifies for a local cost share Waiver or Reduction.
<u>Federal Contribution</u> : Enter any Federal funds being used. If none, enter zero.
<u>In-Kind Contribution</u> : Leave blank and include all In-Kind Contributions in the Local Contribution above.
<u>Grant Funds Requested</u> : Please provide the amount of total grant funds requested. Amounts must be between \$2,000,000 and \$5,000,000.
<u>Total Proposal Cost</u> : Provide the total proposal cost, in dollars. This amount must agree with the total proposal cost shown in Attachment X – Budget and is the sum of the Local Contribution (Cost Share) and Grant Funds Requested.

TABLE 4 – GRANT APPLICATION CHECKLIST
GEOGRAPHIC INFORMATION
<i>GRanTS requests latitude and longitude in degrees, minutes, and seconds. You may use converters on the web, such as https://www.fcc.gov/media/radio/dms-decimal</i>
<u>Latitude</u> : Enter the latitude at the location that best represents the Project area. This should be taken from the center of the Project site.
<u>Longitude</u> : Enter the longitude at the location that best represents the Project area. This should be taken from the center of the Project site.
<u>Longitude/Latitude Clarification</u> : Only use if necessary to explain the location. (Maximum Character Limit: 250)
<u>Location</u> : Identify the approximate location that best represents the center of the Project area. Provide cross-streets or the closest main streets for reference. Provide City and County(-ies) the Project is located in for frame of reference. (Maximum Character Limit: 100)
<u>County(-ies)</u> : Provide the County(ies) in which the Project is located.
<u>Groundwater Basins</u> : Provide the groundwater basin as listed in the current version of DWR Bulletin 118 (https://water.ca.gov/Programs/Groundwater-Management/Basin-Boundary-Modifications) in which the Project is located. Only one application per basin is allowed.
<u>Hydrologic Regions</u> : Provide the hydrologic region in which the Project is located. For proposals covering multiple hydrologic regions, hold down the control key and select all that apply.
<u>Watershed(s)</u> : Provide the name of the watershed(s) the groundwater basin underlies (Maximum Character Limit: 250) A map of California watershed can be found at the following link: https://www.conservation.ca.gov/dlrp/grant-programs/watershed/Documents/CALFED_Watershed_Map[1].pdf . If the groundwater basin covers multiple watershed, you may only provide one "Unique Watershed Number" as listed on the watershed map and should be the one that the majority of the Project is located.
LEGISLATIVE INFORMATION
Enter the State Assembly, State Senate, and U.S. Congressional Districts in which the groundwater basin is located. For proposals covering multiple State Assembly, State Senate, and U.S. Congressional Districts, hold the control key down and select all that apply. Maps of these districts are found at: http://www.legislature.ca.gov/legislators_and_districts/legislators/your_legislator.html .
PROJECTS TAB
<i>This section contains information about the project contained in the Proposal. Applicants must enter all information listed in the Projects Tab of this Checklist (Table 4) along with any field marked with an asterisk (*).</i>
PROJECT INFORMATION
<u>Project Name</u> : Provide the title of the Project (Maximum Character Limit: 150) DO NOT include the solicitation name in the Project name (e.g., SGM Implementation Round 1). The Project name will remain the same for the life of the grant and must match identically with all invoices and timesheets that will be provided in invoicing if the Project is selected for funding.
<u>Implementing Organization</u> : This should be a GSA, member agency of a GSA, or an entity that has an approved Alternative.
<u>Secondary Implementing Organization</u> : Not applicable to this solicitation
<u>Proposed Start Date</u> : This date includes local cost share and reimbursement; therefore, the State Date should be after: Round 1: Must be after January 31, 2021 Round 2: Must be after January 31, 2022
<u>Proposed End Date</u> : This is the last date that funds can be reimbursed for the Project. Round 1: Must be before April 30, 2024 Round 2: Must be before April 30, 2025
<u>Scope of Work</u> : Describe the type(s) of work proposed and task(s) needed to complete work. (Maximum Character Limit: 450)
<u>Project Description</u> : Provide a generalized description of the proposed Project. Provide the need the Project will meet and how the proposed Project will meet the need(s). (Maximum Character Limit: 2,000)
<u>Project Objective</u> : Provide a description of the proposed Project's objectives, the goals and targets needed to meet those objectives, and how the proposed Project will meet those. (Maximum Character Limit: 1,000)

PROJECT BENEFITS INFORMATION
Benefit Level: Leave blank.
Benefit Type: Leave blank.
Benefit: Leave blank.
Description: Leave blank.
Measurement: Leave blank.
PROJECT BUDGET
<i>The following budget items should be taken from Table 6A or 6B – Grant Proposal Summary Budget</i>
If only one Project (no components) is being proposed, use the “Copy Budget data from Applicant Info” feature to populate previously entered data. Otherwise, enter individual budget items for each Project component in the same manner as described for the Applicant Information Tab. The sum of the budget items must agree with the total project budget.
GEOGRAPHIC INFORMATION
Enter the geographical information for each individual Project and Project component location (latitude and longitude in degrees, minutes, and seconds).
LEGISLATIVE INFORMATION (Note: for each Project component; different from Applicant Information)
If only one Project is being proposed, use the “Copy Legislative data from Applicant Info” feature to populate previously entered data. Otherwise, enter legislature information for each Project component in the same manner as described for the Applicant Information Tab. For projects covering more than one district, hold the control key down and select all that apply.
QUESTIONS TAB
<i>The answers to these questions will be used in processing the application and determining eligibility and completeness.</i>
Q.1. Project Description: Provide a brief abstract of the proposal. This abstract must provide an overview of the proposal including the main issues and priorities addressed in the proposal. (25 words or less)
Q.2. Previous Funding: Has the applicant received prior funding through another grant source? If so, identify the source and amount of funding provided for the Project.
Q.3. Project Representatives: Provide the name and details of the Project Director who is responsible for signing and executing the grant agreement for the applicant. This is the authorized person as outlined within the adopted resolution and the title within the adopted resolution must align with the title provided here. Persons that are contractors/consultants or their subs cannot be listed as the Project Director. Other entities included in the GSA can be listed here.
Q.4. Project Manager: Provide the name, title, and contact information of the Project Manager from the applicant agency or organization that will be the day-to-day contact on this application. This person must be an employee of the applicant agency and cannot be contactors/consultants or their subs.
Q.5. Eligibility: Has the applicant met the requirements listed within the 2019 Guidelines and submitted the Eligibility Criteria Self-Certification form?
Q.5.1. Is the proposed Project within the Projects and Management Actions section of the GSP or in an approved Alternative? Provide page references to the GSP or Alternative. The GSP or Alternative must be adopted and submitted on the SGMA Portal (a link to the SGMA Portal can be found in the Foreword). Provide the automated email response from the SGMA Portal.
Q.6. Eligibility: To satisfy SB 985 requirements, all stormwater and dry weather capture projects must be listed in a SWRP or approved FE-SWRP that is consistent with the relevant code provisions enacted by SB 985 (Water Code §10562 (b)(7)) as determined by the State Water Board. The SWRP or FE-SWRP must be incorporated within the local Integrated Regional Water Management Plan (IRWMP). The definition of a stormwater and dry weather capture project is provided within Appendix B of the 2019 Guidelines.
Q7. Underrepresented Community Cost Share Waiver or Reduction: Are you applying for a local cost share waiver or reduction as a project that benefits an Underrepresented Community? Fill out Attachment 6 – Underrepresented Community, as appropriate.

Q.8. Certification: By submitting the application, the applicant and its authorized representative are certifying that:

- a) The applicant is an eligible entity;
- b) He/She is aware that any attachment exceeding the page limit listed in the attachment templates will NOT be reviewed;
- c) He/She is aware that, once the proposal is submitted in GRanTS, any privacy rights and other confidentiality protections offered by law with respect to the application package and project location are waived;
- d) He/She is aware that, if the proposed Project is chosen for funding, any privacy rights and other confidentiality protections offered by law with respect to any portion of the grant (including the grant agreement, all deliverables, all invoices and backup documentation supporting the invoices, and all reporting requirements outlined within the agreement) are waived; and
- e) He/She, and their attorney, has read and agrees to all the Terms and Conditions of the grant agreement template.

CLIMATE RISK IN INVESTMENTS TAB

The answers to these questions will be used in surveying Program applicants.

Q.9. Climate: Does the organization have a primary point of contact for climate change? (Yes/No. If yes, who is it and to what position in the organization does that person report?)

Q.10. Climate: Does the organization have a strategic business plan that considers climate change? (Yes/No. If yes, please submit a copy.)

Q.11. Climate: Has the organization adopted any policies or made any formal public statements about climate change? (Yes/No. If yes, please submit a copy.)

Q.12. Climate: Has the organization conducted a climate change vulnerability assessment? (Yes/No. If yes, please submit a copy.)

Q.13. Climate: How would you describe your organization’s capacity to adapt to the impacts of climate change? (Open ended; one to three paragraphs.)

ATTACHMENTS TAB

Provide the attachments listed below by attaching files to the GRanTS application. When attaching files, please use the naming convention found in Section V.B of this PSP. Requirements for information to be included in these attachments are found in Section V.B.2 of this PSP or in the supplied templates.

ATTACHMENT #	ATTACHMENT TITLE
Attachment 1	Authorizing Documentation (e.g., adopted resolution using example provided on Page 18)
Attachment 2	Eligibility Criteria Self-Certification Form
Attachment 3	Work Plan (Applicant <u>MUST</u> use supplied template)
Attachment 4	Budget (Applicant <u>MUST</u> use supplied template)
Attachment 5	Schedule (Applicant <u>MUST</u> use supplied template)
Attachment 6	Underrepresented Community Documentation (Applicant <u>MUST</u> use supplied template)

ATTACHMENTS TAB INSTRUCTIONS

Within the Attachments Tab, applicants are required to submit up to X (x) attachments, as applicable, to complete the SGM Implementation – Round 1 or Round 2 grant solicitation application. A discussion of each attachment is provided below. Attachments 1 and 2 (Authorizing Documentation and Eligibility Criteria Self-Certification Form) are mandatory and provide backup documentation for the eligibility of an applicant. Attachments 3 through 5 (Work Plan, Budget, and Schedule) are also mandatory and will be scored during the application review based upon the applicant using the templates provided on the Program’s website. Attachment 6 (Underrepresented Community Documentation) is optional, but must be submitted if the applicant is requesting a Local Cost Share Waiver or Reduction, as applicable.

ATTACHMENT 1. AUTHORIZING DOCUMENTATION

For the “AttachmentName” in the naming convention of GRanTS, use the following for this attachment:

Att1_SGM_AuthDoc_#of#”.

The applicant must provide an adopted resolution that has been adopted by the applicant’s governing body designating an authorized representative to submit the application and execute an agreement with the State of California for the SGM Implementation – Round 1 or SGM Implementation – Round 2 grant application.

If an entity is acting on behalf of a GSA, then an adopted resolution from the GSA is required authorizing the applicant entity to act in such a role. Furthermore, a resolution is required by the entity acting as applicant stating authorization to work on behalf of the GSA. Therefore, no less than two adopted resolutions are required for the application and grant execution.

If the resolution cannot be adopted prior to the application due date, please contact DWR, as indicated in the Foreword, to discuss the situation and explain this in Attachment 1, including an anticipated submittal date for the adopted resolution(s). A Grant Agreement cannot be signed without an adopted resolution signed by the appropriate authorities.

The following text box provides an example of the resolution that must be submitted to fulfill this requirement.

RESOLUTION NO. _____

Resolved by the *<Insert Name of Applicant Governing Body>*, that an application be made to the California Department of Water Resources to obtain a grant under the 2019 Sustainable Groundwater Management (SGM) Grant Program Implementation – Round 1 *<or Round 2>* Grant pursuant to the California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Act of 2018 (Proposition 68) (Pub. Resource Code, § 80000 et seq.), and to enter into an agreement to receive a grant for the: *<Insert Project Name>*. The *<Insert title of Authorized Applicant Official>* of the *<Insert Name of Applicant>*, or designee, is hereby authorized and directed to prepare the necessary data, conduct investigations, file such application, and execute a grant agreement and any future amendments (if required), submit invoices, and submit any reporting requirements with the California Department of Water Resources. Passed and adopted at a meeting of the *<Insert Name of Applicant>* on *<Insert date>*.

Authorized Original Signature: _____
Printed Name: _____
Title: _____
Clerk/Secretary: _____

CERTIFICATION

I do hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the *<Insert Name of Applicant>* held on *<Insert date>*.

Clerk/Secretary: _____

DWR highly recommends you follow this language verbatim to ensure that the resolution is sufficient to execute an agreement, execute future amendments (if required), submit invoices, and submit all reporting requirements. Any deviation from this template may result in a delay in executing the grant agreement and beginning the Project.

ATTACHMENT 2. ELIGIBILITY CRITERIA SELF-CERTIFICATION FORM

For the "AttachmentName" in the naming convention of GRanTS, use the following for this attachment:

"Att2_SGM_EligDoc_#of#".

The applicant must use the form located on the Program's website (www.water.ca.gov/sgmgrants) and upload the completed form as Attachment 2. Details for the eligibility criteria can be found in Section III.C. of the 2019 Guidelines.

ATTACHMENT 3. WORK PLAN

For the "AttachmentName" in the naming convention of GRanTS, use the following for this attachment:

"Att3_SGM_WrkPlan_#of#".

Attachment 3 must be consistent with and support the Budget and Schedule (Attachments 4 and 5, respectively). The Work Plan template should be downloaded from the Program's webpage at www.water.ca.gov/sgmgrants.

The Work Plan **MUST NOT EXCEED** 30 pages using a minimum Arial, 10-point type font. Anything greater than 30 pages will not be reviewed or used in our scoring of the application. Maps, supporting letters, figures, or tables should NOT be included within the Word document and should be uploaded separately. Having the maps, supporting letters, figures, or tables in a separate document will ensure that these items are not counted against your page limit. There is no limit to the maps, supporting letters, figures, or tables. Please refer to the template for specific details that should be included. An outline and general description are provided in the table below. Any changes made to the Word template will not be reviewed or scored by DWR technical staff.

TABLE 5 – WORK PLAN TEMPLATE OUTLINE

Section Title	Section Description	Maximum Page Limit
PROJECT DESCRIPTION	Provide a narrative description of the proposed Project, a brief description of the groundwater basin from the GSP, a description of communities served by the Project, and maps that provide site context.	8
PROJECT BENEFITS	Describe in detail the quantifiable benefits provided by the Project, how benefits are expected to be realized by the Project, how benefits will be evaluated and quantified, how the Project will serve an Underrepresented Community (if applicable), and how benefits align with Preferences and Priorities.	8
TECHNICAL EXPERTISE	Explain the "Technical Need" for the proposed Project, identify the roles and responsibilities of entities responsible for Project completion, provide statement(s) of qualifications of entities responsible for Project completion, and a describe the legal authority required for the Project.	6
SCOPE OF WORK AND DELIVERABLES	Descriptions of the anticipated tasks necessary to complete the proposal. Tasks should be organized by the five budget categories, as indicated in the templates. The work plan should also identify the anticipated deliverables for each task. Each task identified in the proposal must have a minimum of one deliverable. Deliverables should be actual work products that can be submitted to DWR. Include the percent complete (0 – 100%) completed.	8

ATTACHMENT 4. BUDGET

For the "AttachmentName" in the naming convention of GRanTS, use the following:

"Att4_SGM_Budget_#of#".

Attachment 4 includes the estimated costs for the Project, as described in the Work Plan (Attachment 3). Applicants MUST use the templates provided at www.water.ca.gov/sgmgrants to obtain a budget score. **Any changes made to this template will not be reviewed or scored by DWR technical staff.** Attachment 4 (the combination of Tables 6 and 7, with a written description) is mandatory and includes the estimated costs of the Project or each component (if applicable) in the application. The maximum 2-page written description should provide a short justification for each budget category (at a minimum) and can, if space permitted, provide justification by tasks. Applicants are encouraged to provide engineers estimates, obtained bids, informal estimates, similarly completed project final

budget, etc. as justification to accompany the Budget tables and 2-page written description. These additional backup justifications are not included in the 2-page limit.

Use the appropriate Table 6: Proposal Summary Budget Table (Table 6A, No Components) with Table 7A: Proposal Detailed Budget Table (No Components); or Component Summary Budget (Table 6B, Multiple Components) with Table 7B: Proposal Detailed Budget (Multiple Components). Attachment 4 should be presented in the following sub-sections:

- Grant Proposal Summary Budget Table (Table 6A/6B)
- Proposal/Component Detailed Budget Table (Table 7A/7B)

For the Budget Tables, costs must be broken down consistent with how tasks are presented in the Work Plan (Attachment 3). For example, if the Work Plan describes projects at the task and subtask level, the budget must also present costs at the task and subtask level. In addition to the tables, the applicant must provide a description explaining how the values were derived. The description must not exceed two pages per component using a minimum Arial, 10-point type font.

NOTE: the maximum grant administration budget cannot exceed 10% and the maximum construction administration budget cannot exceed 15% of the requested grant funds. Grantees shall invoice and report on a quarterly basis only.

Grant Proposal Summary Budget

Table 6A and 6B will be used to present the summarized budget and the local cost share for the proposal, including documenting that the proposal will meet the minimum requirement of at least 25% of the total project cost. The minimum local cost share applies to the cost of the overall proposal. If the Project serves an Underrepresented Community and is requesting a waiver or reduction of the 25% local cost share requirement, please complete the budget table accordingly and include a footnote identifying the local cost share waiver or reduction request.

If there are no components to the proposal, Table 6A should be used.

TABLE 6A – GRANT PROPOSAL SUMMARY BUDGET (NO COMPONENTS)

GRANT PROPOSAL TITLE: _____

Grant proposal serves a need of an Underrepresented Community?: Yes No

Local Cost Share requested: 25% 15% 5% 0%

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non-State Fund Source ²	(c) Total Cost	(d) % Local Cost Share (Col (b)/ Col (c))
(a) Grant Administration	\$0	\$0	\$0	%
(b) Planning / Design / Environmental	\$0	\$0	\$0	%
(c) Construction / Implementation	\$0	\$0	\$0	%
(d) Monitoring / Assessment	\$0	\$0	\$0	%
(e) Stakeholder Outreach / Public Education				
Grand Total <i>Sum rows (a) through (d) for each column</i>	\$0	\$0	\$0	%

¹ Only these Budget Categories shall be used. Tasks can be added for more detail.

² List sources of funding: Use as much space as required here. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.

If there are components, Table 6B should be used.

TABLE 6B – GRANT PROPOSAL SUMMARY BUDGET (MULTIPLE COMPONENTS)

GRANT PROPOSAL TITLE: _____

Grant proposal serves a need of an Underrepresented Community?: Yes No

Local Cost Share requested: 25% 15% 5% 0%

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non-State Fund Source ²	(c) Total Cost	(d) % Local Cost Share (Col (b)/ Col (c))
Component 1 Grant Administration	\$0	\$0	\$0	%
Component 2: Title	\$0	\$0	\$0	%
Component 3: Title	\$0	\$0	\$0	%
Component n: Title	\$0	\$0	\$0	%
Grand Total <i>Sum rows (1) through (n) for each column</i>	\$0	\$0	\$0	%

¹ These components are shown here for example purpose only. Actual number of components may vary.

² List sources of funding: Use as much space as required. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.

Proposal/Component Detailed Budgets

Table 7 must be completed and there should be a Table 7 for each component in the proposal (if applicable). Table 7A/B includes the required budget categories listed in Table 6A/B. If applicable, additional rows must be added under the budget categories to present the cost of each task described in Attachment 3 – Work Plan. For example, if the Work Plan describes components at the task and subtask level, the Detailed Budget must also present costs at the task and subtask level.

If there are no components to the proposal, Table 7A should be used.

TABLE 7A – PROPOSAL/COMPONENT DETAILED BUDGET (NO COMPONENTS)

GRANT PROPOSAL TITLE: _____

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non-State Fund Source ²	(c) Total Cost
(a) Grant Administration	\$0	\$0	--
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(b) Planning / Design / Environmental	\$0	\$0	--
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(c) Construction / Implementation	\$0	\$0	--
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(d) Monitoring / Assessment	\$0	\$0	--
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(d) Stakeholder Outreach / Public Education	\$0	\$0	--
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
Grand Total <i>Sum rows (a) through (d) for each column</i>	<i>Grand Total from Table 6A, column (a) Proposal Total</i>	<i>Grand Total from Table 6A, column (b) Proposal Total</i>	<i>Grand Total from Table 6A, column (c) Proposal Total</i>

¹ Only these Budget Categories shall be used. Tasks can be added for more detail.

² List sources of funding: Use as much space as required here. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.

If there are components to the proposal, Tables 7B should be used.

TABLE 7B – PROPOSAL/COMPONENT DETAILED BUDGET (MULTIPLE COMPONENTS)

GRANT PROPOSAL TITLE: _____

COMPONENT TITLE: COMPONENT 1: GRANT ADMINISTRATION

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non- State Fund Source ²	(c) Total Cost
(a) Grant Administration	\$0	\$0	<i>Total from Table 6B, column (c), row (a)</i>
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
Grand Total <i>Sum rows in Category (a) for each column</i>	<i>Grand Total from Table 6B, column (a) Proposal Total</i>	<i>Grand Total from Table 6B, column (b) Proposal Total</i>	<i>Grand Total from Table 6B, column (c) Proposal Total</i>

¹ Only these Budget Categories shall be used. Tasks can be added for more detail.

² List sources of funding: Use as much space as required here. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.

TABLE 7B – PROPOSAL/COMPONENT DETAILED BUDGET (MULTIPLE COMPONENTS)

GRANT PROPOSAL TITLE: _____

COMPONENT TITLE: _____

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non- State Fund Source ²	(c) Total Cost
(a) Component Administration	\$0	\$0	<i>Total from Table 6B, column (c), row (a)</i>
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(b) Planning / Design / Environmental	\$0	\$0	<i>Total from Table 6B, column (c), row (c)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(c) Implementation / Construction	\$0	\$0	<i>Total from Table 6B, column (c), row (d)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(d) Monitoring / Assessment	\$0	\$0	<i>Total from Table 6B, column (c), row (e)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(e) Stakeholder Outreach / Public Education	\$0	\$0	<i>Total from Table 6B, column (c), row (a)</i>
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
Grand Total <i>Sum rows (a) through (e) for each column</i>	<i>Grand Total from Table 6B, column (a) Proposal Total</i>	<i>Grand Total from Table 6B, column (b) Proposal Total</i>	<i>Grand Total from Table 6B, column (c) Proposal Total</i>

¹ Only these Budget Categories shall be used. Tasks can be added for more detail.

² List sources of funding: Use as much space as required here. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.

Copy and paste the following Table 7B for all remaining components:

TABLE 7B – GRANT PROPOSAL SUMMARY BUDGET (NO COMPONENTS)

GRANT PROPOSAL TITLE: _____
COMPONENT TITLE: _____

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non- State Fund Source ²	(c) Total Cost
(a) Component Administration	\$0	\$0	<i>Total from Table 6B, column (c), row (a)</i>
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(b) Planning / Design / Environmental	\$0	\$0	<i>Total from Table 6B, column (c), row (c)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(c) Implementation / Construction	\$0	\$0	<i>Total from Table 6B, column (c), row (d)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(d) Monitoring / Assessment	\$0	\$0	<i>Total from Table 6B, column (c), row (e)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(e) Stakeholder Outreach / Public Education	\$0	\$0	<i>Total from Table 6B, column (c), row (a)</i>
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
Grand Total <i>Sum rows (a) through (e) for each column</i>	<i>Grand Total from Table 6B, column (a) Proposal Total</i>	<i>Grand Total from Table 6B, column (b) Proposal Total</i>	<i>Grand Total from Table 6B, column (c) Proposal Total</i>

¹ Only these Budget Categories shall be used. Tasks can be added for more detail.

² List sources of funding: Use as much space as required here. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.

ATTACHMENT 5. SCHEDULE

For the "AttachmentName" in the naming convention of GRanTS, use the following:

"Att5_SGM_Schedule_#of#".

Attachment 5 shall include a schedule for each component showing the sequence and timing of each of the tasks and subtasks, depending on how the tasks and subtasks are outlined in the Work Plan and Budget tables. Please use Table 8A for applications without components and Table 8B for applications with multiple components.

The Schedule template should be downloaded from DWR’s SGM webpage at the following link: www.water.ca.gov/sgmgrants. Any changes made to this template, reluctance to use the template, or changes made to the font type and size will not be reviewed or scored by DWR’s technical staff. However, if there are no components to the proposal, those rows can be removed. Additionally, any other added tables or charts will not be used in the scoring criteria. **Only Attachment 5 – Schedule template tables and 2-page description will be used in the scoring criteria.**

Categories	Start Date	End Date
Task n. xx		
(c) Construction / Implementation		
Task 1. xx		
Task n. xx		
(d) Monitoring / Assessment		
Task 1. xx		
Task n. xx		
(e) Stakeholder Outreach / Public Education		
Task 1. xx		
Task n. xx		
Component n: Title	Earliest Start Date	Latest End Date
(a) Component Administration		
Task 1. xx		
Task n. xx		
(b) Planning / Design / Environmental		
Task 1. xx		
Task n. xx		
(c) Construction / Implementation		
Task 1. xx		
Task n. xx		
(d) Monitoring / Assessment		
Task 1. xx		
Task n. xx		
(e) Stakeholder Outreach / Public Education		
Task 1. xx		
Task n. xx		

ATTACHMENT 6. LOCAL COST SHARE WAIVER OR REDUCTION JUSTIFICATION

If claiming an Underrepresented Community status, then you must prepare Attachment 6 – Local Cost Share Waiver or Reduction Justification using the “AttachmentName” of:

“Att6_SGM_URC_#of#”.

Attachment 6 is required for only those applicants requesting a local cost share waiver or reduction. All other applicants do not need to submit Attachment 6.

DWR recommends that applicants consult the 2019 Guidelines Appendix D to determine if the Project benefit area includes a DAC, SDAC, or EDA and for details on waiving or reducing the local cost share requirements. Applicants should also consult the Underrepresented Community Guidance document provided on our website at www.water.ca.gov/sgmgrants for those benefit areas that are not identified as a DAC, SDAC, or EDA but fall within the definition of a Underrepresented Community. Examples on how to determine your Project’s benefit area are also found within the Underrepresented Community Guidance document.

Include information that supports the Project benefits to an Underrepresented Community, such as a map or shapefile, that shows the Project benefit area and location(s) of the Underrepresented Community (-ies). Include information that demonstrates support for the Project by the Underrepresented Community(-ies) (e.g., letter(s) of support from representatives within the Underrepresented Community(-ies)).

Where the lack of representative census data that adequately represents the community can be documented, alternative studies (e.g., local income surveys, a subset of a block group, etc.) may be

substituted in the attachment. In determining the median household income (MHI) for an Underrepresented Community, applicants may use a single type of census geography or combination of census geographies that best represent the Underrepresented Community.

For the applicants with Geographic Information System (GIS) capability, the GIS data files used within the DAC and EDA mapping tools are available to download and use and can be found at the following link: <https://water.ca.gov/Work-With-Us/Grants-And-Loans/Mapping-Tools>. These GIS files will allow applicants to combine project area shape files with Underrepresented Community data layers. This will help applicants show the extent of overlap or areas with Underrepresented Communities.

VI. APPLICATION REVIEW

All applications will first be screened for eligibility and completeness in accordance with Section VI of the 2019 Guidelines and Section III of this PSP. The information provided by applicants in GRanTS, as well as Attachments 1 through 5 of the application, will be used in determining eligibility and completeness. The final dates for all proposals to be submitted into GRanTS will be provided in the grant solicitation announcement and posted on the SGM Grant Program website (www.water.ca.gov/sgmgrants).

All complete and eligible applications will be evaluated, scored, and ranked based on the evaluation questions presented below in Table 9 – Application Evaluation Criteria. Please note that the application and/or review questions outlined in Table 9 – Application Evaluation Criteria may be reworded, combined, or separated as the information is transferred into our online application tool (e.g. GRanTS or other application). SGM staff may make clarifying or editorial changes to the application following approval. Table 9 is subject to change depending on the final preparations of the review questionnaire. No substantive changes will be made to the evaluation criteria and scoring scheme.

For proposals with multiple components, the evaluation will be repeated for each component. The score for a proposal with multiple components will be determined by summing each individual component's total score, dividing that summation by the number of components for the Component Average Score, and then rounding up or down to the nearest whole number (Final Score). See Table 9 for an example of the scoring criteria.

DWR staff may recommend reducing individual grant amounts from the requested amount. However, such reductions will be considered only if technical reviewers have indicated that the budget is too high for the task(s)/component(s) described or some tasks/components are determined to be ineligible for the grant program or are not necessary for project completion. A reduction would also be weighed against whether the reduced funding would impede project implementation or if the proposed budget is determined inconsistent with similar projects. A reduction in requested grant funds can also occur when a greater number of well-qualified projects are requesting grant funds greater than the funding available.

If multiple applications are received within a single basin, DWR will contact the applicants and request that a consolidated application for the basin be submitted before the close of the open filing period, if feasible. If identified after the close of the solicitation, DWR will work with the multiple applicants to consolidate, if awarded.

VII. AWARD PROCESS

Funding will be allocated to proposals consistent with minimum and maximum award amounts, using the proposal score, professional judgement, and available funding. DWR's funding recommendation may vary from grant funding requests.

Following funding awards DWR will execute a grant agreement with the Grantee. Grant agreements are not executed until signed by both the authorized representative of the Grantee and DWR. The

Grantees have approximately six months to obtain an executed grant agreement after the grant award notification letter is sent by DWR. The exact date for grant agreement execution will be outlined in the grant award notification letter. DWR reserves the right to withdraw an award due to lack of responsiveness on the part of the applicant.

TABLE 9 – APPLICATION EVALUATION CRITERIA (FOR PROJECT OR COMPONENT)

Section Name	Q#	Questions	Attachment(s)	Possible Points	Scoring Guidance
Project Description	1	Was a description of the proposed Project or Component provided? Did it explain why this Project or Component was chosen over all others identified in the Plan in terms of benefits provided, communities served, measurable objectives, minimum thresholds, plan implementation timeline, and feasibility?	3	3	<ul style="list-style-type: none"> • 3 – Fully addressed • 2 – Mostly addressed, with minor details not included or unclear • 1 – Marginally addressed • 0 – Not addressed
Project Description	2	Did the Work Plan provide a description of the circumstances that initiated the Project or Component, the criteria that triggered implementation of the Project, the process the Agency utilized to determine that conditions require Project or Component implementation, and criteria that would trigger Project or Component termination? Were page references to the Plan provided?	3	3	<ul style="list-style-type: none"> • 3 – Fully addressed • 2 – Mostly addressed, with minor details not included or unclear • 1 – Marginally addressed • 0 – Not addressed
Project Description	3	Does the proposal provide a brief description of the groundwater basin setting, beneficial uses, sustainability goal, measurable objectives, minimum thresholds, and undesirable results? Does the proposal assess if and how groundwater users will be impacted upon implementation of the proposed project? Were page references to the Plan provided? <ul style="list-style-type: none"> • <i>A half point for each (i.e., groundwater basin setting, beneficial uses, sustainability goal, measurable objectives, minimum thresholds, and undesirable results) is possible. The final score will be rounded up to the nearest whole number for the final score. No points will be awarded if page references are not provided.</i> 	3	3	<ul style="list-style-type: none"> • 3 – Fully addressed • 2 – Mostly addressed, with minor details not included or unclear • 1 – Marginally addressed • 0 – Not addressed
Project Description	4	Does the Project Description demonstrate the goals, objectives, and needs of the proposed Project or Component? How does the Project or Component meet those? If there are multiple Components, does it demonstrate how they work together as a whole to address the goals, objectives, and needs? Do the descriptive elements align with other planning documents such as IRWM Plans, SWRP, etc.? <ul style="list-style-type: none"> • <i>Goals, objectives, and needs are worth a total of 1 point.</i> • <i>How the Project or Component meets the groundwater basin setting, beneficial uses, sustainability goals, measurable objectives, minimum thresholds, and undesirable results is worth 3 points with 0.5 points addressed to each listed.</i> • <i>Goals, objectives, and needs of the Project or Component aligning with other planning documents is worth 1 point. No points will be given if not addressed. No points will be awarded if page references are not provided.</i> 	3	5	<ul style="list-style-type: none"> • 5 – All aspects of the question are addressed, and backup documentation and/or explanation provided sufficient detail to justify claims. • 4 – All aspects of the question are addressed; however, the backup documentation and/or explanation is lacking detail. • 3 – All aspects of the question are moderately addressed; however, the backup documentation did not provide backup documentation and/or explanation to justify claims. • 2 – Missing aspects of the question; however, backup documentation and/or explanation missing details. • 1 – Outlined some aspects of the question and did not provide backup documentation and/or explanation to justify the claim. • 0 – Not addressed

TABLE 9 – APPLICATION EVALUATION CRITERIA (FOR PROJECT OR COMPONENT)

Section Name	Q#	Questions	Attachment(s)	Possible Points	Scoring Guidance
Project Description	5	Was there a regional and Project map(s) depicting the site location, current conditions, Underrepresented Community, and benefitting areas? <ul style="list-style-type: none"> A point will be provided for each detail listed and can be depicted on one or multiple maps. However, the information should be clear and easy to read. If not, the point will not be given for that particular map. 	3	2	<ul style="list-style-type: none"> 2 – Fully addressed 1 – Less than fully addressed 0 – Not addressed
Project Description	6	Did the applicant provide a map and narrative of current and historical land use(s) of the site and surrounding area? Did the applicant provide a description of current and historical groundwater and soil contamination issues at the site or in the vicinity of the Project site? <ul style="list-style-type: none"> A point for each map and description is possible. The description will be scored according to the defined scoring criteria; however, a score of 0 will be given if a map is not accompanying the description. 	3	2	<ul style="list-style-type: none"> 2 – Fully addressed 1 – Less than fully addressed 0 – Not addressed
Project Benefits	7	Does the Project or Component build or help build soil organics that increase water infiltration, storage, and percolation on natural and working lands; provide improvements to soil health and the building of soil organic matter; or explicitly improve water infiltration, retention and storage using agricultural practices that build healthy soil?	3	2	<ul style="list-style-type: none"> 2 – Fully addressed 1 – Less than fully addressed 0 – Not addressed
Project Benefits	8	Will the Project or Component provide at least three quantifiable benefits (refer to Page 10)? Was an explanation of the benefits that are expected to be realized from the Project or Component provided, and how those benefits will be evaluated and quantified? Does one of the Project or Component benefits align with objectives and actions from other planning documents or State agency initiatives (e.g., Integrated Regional Water Management (IRWM) Plans, SWRPs, Water Resiliency Portfolio, Prop 1 IRWM DAC Involvement Needs Assessment Report, California's Healthy Soils Initiative, Safe and Affordable Drinking Water Fund Expenditure Plan and Policy, the State Water Board's SAFER Program, and other Integrated Watershed Management planning efforts)? Does one of the Projects or Component benefits address a Proposition 68 preference? Does one address individual GSPs sustainability goals? <ul style="list-style-type: none"> A minimum of 3 quantifiable benefits are required to obtain a score of 2 points if the benefits are well defined. To obtain full points, 3 or more quantifiable benefits must be identified and fully supported with backup documentation, with at least one benefit being from Proposition 68 preferences, one addressing the GSPs sustainability goal, and one addressing benefits of multiple planning documents. 	3	5	<ul style="list-style-type: none"> 5 – 4 (or more) quantifiable benefits with sufficient backup documentation and they align with other planning documents and State agency initiatives. 4 – 3 quantifiable benefits with sufficient backup documentation and they align with other planning documents and State agency initiatives. 3 – 3 quantifiable benefits without sufficient backup documentation but they align with other planning documents and State agency initiatives. 2 – 2 quantifiable benefits with sufficient backup documentation and the benefits align with other planning documents and State agency initiatives. 1 – 2 quantifiable benefits without sufficient backup documentation and do not align with other planning documents and State agency initiatives. 0 – less than 2 quantifiable benefits are listed, or the benefits provided do not have supporting documentation.

TABLE 9 – APPLICATION EVALUATION CRITERIA (FOR PROJECT OR COMPONENT)

Section Name	Q#	Questions	Attachment(s)	Possible Points	Scoring Guidance
Project Benefits	9	<p>Will the Project or Component positively impact issues associated with small water systems or private shallow domestic wells (groundwater contamination vulnerability, drawdown, etc.)? Was justification such as domestic well census results, water system maps, service area maps, etc. provided? Does the Project or Component help address the needs of the State Water Board’s SAFER Program?</p> <ul style="list-style-type: none"> <i>A reasonable explanation of how the Project or Component addresses issues associated with small water systems or private shallow domestic wells is expected to receive full points.</i> 	3	2	<ul style="list-style-type: none"> • 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed
Project Benefits	10	<p>Describe how stakeholders and the broader community will be engaged in the development and implementation of this project. Also, describe how these efforts will improve upon GSA stakeholder and community engagement efforts to date.</p>	3	2	<ul style="list-style-type: none"> • 2 –Fully addressed • 1 –Less than fully addressed • 0 – Not addressed
Project Benefits	11	<p>Is the Project or Component located within an Underrepresented Community as defined on Page 7? Will the Project or Component benefit an Underrepresented Community? Were the Underrepresented Community(-ies) that the Project or Component will benefit identified? Did the Work Plan demonstrate how the goals and objective of the Project or Component will provide measurable benefits to the Underrepresented Community(-ies)? Was a description of outreach activities for Underrepresented Communities provided? Were the concerns and priorities identified from Underrepresented Communities or community representatives during stakeholder outreach activities identified? Were letters of support from the Underrepresented Community(-ies) or representatives of Underrepresented Community(-ies) provided?</p> <p><i>Zero points will be awarded if the applicant does not obtain support letters.</i></p>	3	4	<ul style="list-style-type: none"> • 4 – Located within and solely benefits the Underrepresented Community; Underrepresented Community clearly identified; outreach activities included; needs of Underrepresented Community fully addressed; minimum support letters provided. • 3 – Located within and solely benefits the Underrepresented Community; Underrepresented Community clearly identified; outreach activities included; minimum support letters provided; needs of Underrepresented Community not fully addressed. • 2 – Not located within but solely benefits the Underrepresented Community; Underrepresented Community clearly identified; needs of Underrepresented Community moderately addressed; letters of support provided. • 1 – Not located within but a minimum of 50% of the benefits are for an Underrepresented Community; Underrepresented Community clearly identified; needs of Underrepresented Community not addressed; letters of support provided. • 0 – not located nor benefits an Underrepresented Community; benefits not well defined.
Project Benefits	12	<p>How does the proposed Project or Component address the Human Right to Water (AB 685 Section 106.3)? How will the Project or Component support the established policy of the State that every human being has the right to safe, clean, affordable, and accessible water adequate for human consumption, cooking, and sanitary purposes?</p>	3	2	<ul style="list-style-type: none"> • 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed

TABLE 9 – APPLICATION EVALUATION CRITERIA (FOR PROJECT OR COMPONENT)

Section Name	Q#	Questions	Attachment(s)	Possible Points	Scoring Guidance
Technical Expertise	13	<p>Does the Work Plan identify the roles and responsibilities of the key personnel responsible for the completion of the Project or Component? Do the key personnel demonstrate the appropriate experience, knowledge, and skills necessary to successfully complete the Project? Were assurances given that the plans and specifications and construction will be prepared by or under the direction of a licensed professional geologist or professional engineer, as applicable? Did the applicant contact the California Conservation Corp (CCC) to obtain their services for the Project or Component and provide proof from the CCC?</p> <ul style="list-style-type: none"> To obtain full points, you must submit a completed evaluation form from the CCC to demonstrate you reached out to them. 	3	3	<ul style="list-style-type: none"> 3 – Fully addressed 2 – Mostly addressed, with minor details not included or unclear 1 – Marginally addressed 0 – Not addressed
Technical Expertise	14	<p>Have the key personnel identified in Question 13 successfully completed a general obligation bond-funded project through a State grant program? If not, did the applicant provide a clear understanding of the expectations required to successfully complete a bond-funded grant?</p> <p><i>To obtain one point, applicant must answer question, but it is not necessary that the applicant have previously completed project with a State grant program. The question is posed to determined that if applicant is awarded a grant that they have an understanding of oversight needed to ensure grant is successful.</i></p>	3	1	<ul style="list-style-type: none"> 1 – Fully addressed 0 – Not addressed
Technical Expertise	15	<p>Does the applicant have the legal access rights, easements, or other access capabilities to the property to implement the Project or Component? If not, does the applicant provide a clear and concise narrative and schedule to obtain the necessary access?</p> <p><i>The applicant must demonstrate legal access rights, easements, or other access capabilities to the property to obtain full points.</i></p>	3	2	<ul style="list-style-type: none"> 2 – Fully addressed 1 – Less than fully addressed 0 – Not addressed
Technical Expertise	16	<p>Did the applicant provide a description of the legal authority required for the Project or Component, management action, and the basis for that authority within the Agency?</p>	3	1	<ul style="list-style-type: none"> 1 – Fully addressed 0 – Not addressed
Technical Expertise	17	<p>Did the applicant list all permits, easements, right of ways, CEQA documentation, resolutions needed, etc. for the Project or Component and the status of each?</p> <p><i>In order to obtain points, the applicant must describe the steps that are needed and the steps already taken to obtain the required permits; state whether the applicant reached out to the required permitting agencies (e.g. State Water Resources Control Board, Regional Water Quality Control Board, US Army Corps of Engineers) to discuss the proposed project or component; describe the feedback received by the required permitting agencies; and provide a statement from the permitting agency regarding if the required permit(s) could be provided within the timeline allowed for the grant.</i></p>	3	2	<ul style="list-style-type: none"> 2 – Fully addressed 1 – Less than fully addressed 0 – Not addressed
Scope of Work and Deliverables	18	<p>Does the Work Plan include an adequate description of any key decision points, milestones, or deliverables that could impact the Project or Component scope, cost, and schedule?</p>	3	1	<ul style="list-style-type: none"> 1 – Fully addressed 0 – Not addressed

TABLE 9 – APPLICATION EVALUATION CRITERIA (FOR PROJECT OR COMPONENT)

Section Name	Q#	Questions	Attachment(s)	Possible Points	Scoring Guidance
Scope of Work and Deliverables	19	Does the Work Plan include a complete description of all tasks necessary to result in a completed Project or Component? Are all necessary and reasonable deliverables identified? <i>To obtain full points, a full description of each task and/or subtask should be provided. You cannot just list the task and expect to obtain points.</i>	3	3	<ul style="list-style-type: none"> • 3 – Fully addressed • 2 – Mostly addressed, with minor details not included or unclear • 1 – Marginally addressed • 0 – Not addressed
Scope of Work and Deliverables	20	Does the Work Plan include a discussion of coordination with other entities, agencies, and/or organizations and a detailed description of the approach and practices the Project or Component is proposing to use and technical basis for approach?	3	2	<ul style="list-style-type: none"> • 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed
Budget and Schedule	21	Does the Budget reflect the priorities and goals identified in the Project or Component description? Is it consistent with the priorities and goals? Is the Budget complete and reasonable to execute the Work Plan on the Schedule provided?	3 & 4	3	<ul style="list-style-type: none"> • 3 – Fully addressed • 2 – Mostly addressed, with minor details not included or unclear • 1 – Marginally addressed • 0 – Not addressed
Budget and Schedule	22	Does the Budget leverage fund with other private, Federal, or local fund sources? If not, does the Project or Component qualify for a local cost share waiver?	4	1	<ul style="list-style-type: none"> • 1 – Fully addressed • 0 – Not addressed
Budget and Schedule	23	Is the Grant Administration costs and the Construction Administration costs 10% and 15%, or less, respectively, of the overall grant funds requested?	4	1	<ul style="list-style-type: none"> • 1 – Fully addressed • 0 – Not addressed
Budget and Schedule	24	Were quotes, bids, engineer’s cost estimates, or cost for a similarly completed project provided to justify the costs listed within the Budget?	4	2	<ul style="list-style-type: none"> • 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed
Budget and Schedule	25	Is the Budget and Schedule consistent with the Work Plan Scope of Work (e.g., tasks and subtasks outlined in the Scope of Work are also outlined in the same level of detail within the Budget and Schedule)?	3, 4, & 5	2	<ul style="list-style-type: none"> • 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed
Budget and Schedule	26	Given the level of effort described in the Work Plan, does the Schedule seem reasonable?	3 & 5	1	<ul style="list-style-type: none"> • 1 – Fully addressed • 0 – Not addressed
Total Range of Possible Points				0-60	
Total Project Level Score for all proposed components:					
Average Project Level Score = (Total Project Score/# of Components):					

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*CALIFORNIA NATURAL RESOURCES AGENCY'S
DEPARTMENT OF WATER RESOURCES -
DIVISION OF REGIONAL ASSISTANCE*

