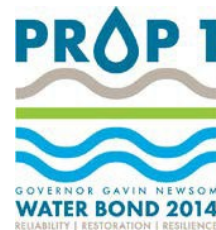


# ROUND 2

# Implementation Grant Proposal Solicitation Package

Integrated Regional Water Management  
Final May 2022



*CALIFORNIA NATURAL RESOURCES AGENCY  
DEPARTMENT OF WATER RESOURCES  
DIVISION OF  
REGIONAL ASSISTANCE*



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## FOREWORD

The California Department of Water Resources (DWR) is administering the Round 2 Integrated Regional Water Management (IRWM) Implementation Grant solicitation using funds authorized by the Water Quality, Supply, and Infrastructure Improvement Act of 2014 (Proposition 1). This Proposal Solicitation Package (PSP) describes eligibility requirements, application instructions, and application review and scoring criteria. This document is not a standalone document, and the applicant will need to refer to the 2022 IRWM Grant Program Guidelines (2022 Guidelines) for additional information.

### Grant Program Website

Pertinent information about the IRWM Grant Program, including this document and the 2022 Guidelines, can be found here: <https://water.ca.gov/Work-With-Us/Grants-And-Loans/IRWM-Grant-Programs>

### Mailing List

In addition to the website, DWR will distribute information via e-mail. If you are not already on the IRWM contact list and wish to be placed on it, please visit here: <https://water.ca.gov/Work-With-Us/Grants-And-Loans/IRWM-Grant-Programs>

### Contact Information

For questions about this document or other technical issues, please contact DWR's Financial Assistance Branch at (916) 651-9613 or by e-mail at: [DWR\\_IRWM@water.ca.gov](mailto:DWR_IRWM@water.ca.gov).

For questions and assistance regarding DWR's Grant Review and Tracking System (GRanTS), please contact the GRanTS Administration Team at (888) 907-4267 or [grantsadmin@water.ca.gov](mailto:grantsadmin@water.ca.gov).

### Grant Agreement

All applicants that are awarded funding will be required to sign a grant agreement with DWR. The template for the Round 2 IRWM Grant Solicitation agreement can be found here: <https://water.ca.gov/Work-With-Us/Grants-And-Loans/IRWM-Grant-Programs/Proposition-1/Implementation-Grants>. Note that the agreement template is subject to change prior to agreement execution.

### Application Deadline

Application deadlines will be posted on DWR's website here: <https://water.ca.gov/Work-With-Us/Grants-And-Loans/IRWM-Grant-Programs/Proposition-1/Implementation-Grants>

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# I. INTRODUCTION

The Integrated Regional Water Management (IRWM) Grant Program is designed to encourage integrated regional management of water resources and provide funding for projects that support integrated water management planning and implementation. This Proposal Solicitation Package (PSP) works in conjunction with the 2022 IRWM Grant Program Guidelines (2022 Guidelines) to disburse Round 2 Implementation grant funding under the Water Quality, Supply, and Infrastructure Improvement Act of 2014 (Proposition 1). Prospective applicants should read this PSP and the 2022 Guidelines to ensure that the submitted documents will meet the grant program requirements. The 2022 Guidelines are posted on the DWR IRWM Grant Program website at the link listed in the Foreword.

Definitions of acronyms, abbreviations, and other terms commonly used throughout this PSP are provided in the 2022 Guidelines.

# II. ELIGIBILITY

To be eligible for IRWM grant funding, all applicable eligibility criteria outlined in Section II of the 2022 Guidelines and summarized below must be met. Additional eligibility requirements that apply specifically to this solicitation are listed in Section II.C (Additional Proposal and Project Eligibility Requirements) of this PSP. A comprehensive eligibility checklist is provided in Table 1 (below) as a reference for applicants.

## A. Eligible Grant Applicants

A grant applicant is the entity submitting the grant application. Entities that are eligible to submit an application under this PSP are listed below and further defined in the 2022 Guidelines, Section II.A and Appendix B:

- Public agencies
- 501(c)(3) Non-profit organizations
- Public utilities
- Federally recognized Indian Tribes
- California State Indian Tribes listed on the Native American Heritage Commission's California Tribal Consultation List
- Mutual water companies

For the purposes of this PSP, federally recognized Indian Tribes and California State Indian Tribes listed on the Native American Heritage Commission's California Tribal Consultation List are collectively referred to as "Tribe" or "Tribes."

An eligible grant applicant will apply on behalf of a specific IRWM region(s). No more than one application will be accepted per IRWM region in each Funding Area; however, application(s) may be submitted on behalf of multiple IRWM regions within a Funding Area. In addition, the IRWM region(s) must have been accepted into the IRWM Grant Program through DWR's Region Acceptance Process (RAP). A list of accepted regions can be found at the following link: <https://water.ca.gov/Work-With-Us/Grants-And-Loans/IRWM-Grant-Programs/Plan-Review-Process>

If an application is successful, the eligible grant applicant will then enter into an agreement with the State. Local Project Sponsors are generally any stakeholders responsible for implementing a project within an application and must meet the same eligibility requirements as the grant applicant. Eligibility requirements are listed in Table 1: IRWM Implementation Grant Eligibility Checklist.

## **B. Eligible Project Types**

Details on eligible project types are provided in Section II.C of the 2022 Guidelines.

All projects must also be compliant with the State General Obligation Bond Law in order to be eligible for grant funding.

For the purposes of this PSP, “project” refers to all planning, design, engineering, acquisition of real property interests, construction and related activities undertaken to implement a discrete action to be funded under this Program.

## **C. Additional Proposal and Project Eligibility Requirements**

Throughout this PSP, all projects (or a single project) submitted in a single application are collectively referred to as a proposal. Proposal and project eligibility requirements that are not included in the 2022 Guidelines and are specific to this solicitation are detailed in Table 1: IRWM Implementation Grant Eligibility Checklist and EXHIBIT A – Additional Proposal and Project Eligibility Requirements.

**TABLE 1: IRWM Implementation Grant Eligibility Checklist**

<b>Criteria Type</b>	<b>Eligibility Criteria</b>	<b>Required Documentation</b>	<b>Additional Details</b>	<b>Criteria Met (Yes, No, or NA)</b>
Applicant Eligibility	Has the IRWM region been accepted into the IRWM Grant Program through the Region Acceptance Process?	None. DWR to verify.	2022 Guidelines Section II.B.	
Applicant Eligibility	Has the region and local project sponsors (LPS) adopted an IRWM plan that meets 2016 IRWM Plan Standards to DWR for review prior to application submittal?	Complete the self-certification form and include the date of adoption for each LPS.	PSP Section V.B.3	
Applicant Eligibility	Is the applicant an Eligible Applicant for Proposition 1 funding?	Complete the self-certification form.	2022 Guidelines Section II.A. & PSP Section V.B.3	
Applicant Eligibility	Urban Water Management Compliance <sup>1</sup>	Complete the self-certification form and include the date of plan verification and details for each LPS.	2022 Guidelines Section II.B. & PSP Section V.B.3	
Applicant Eligibility	Agricultural Water Management and Measurement Compliance <sup>1</sup>	Complete the self-certification form and include the date of plan verification and details for each LPS.	2022 Guidelines Section II.B. & PSP Section V.B.3	
Applicant Eligibility	Surface Water Diverter Compliance <sup>1</sup>	Complete the self-certification form and include surface diversion submittal dates for each LPS.	2022 Guidelines Section II.B. & PSP Section V.B.3	
Applicant Eligibility	Groundwater Management Compliance <sup>1</sup>	Complete the self-certification form and indicate if the agency self-certifies regarding Groundwater Management Plans or the project does not affect groundwater for each LPS.	2022 Guidelines Section II.B. & PSP Section V.B.3	
Applicant Eligibility	CASGEM Compliance <sup>1</sup>	Complete the self-certification form and include the name of monitoring entities.	2022 Guidelines, Section II.B. & PSP Section V.B.3	



**TABLE 1: IRWM Implementation Grant Eligibility Checklist (cont.)**

Criteria Type	Eligibility Criteria	Required Documentation	Additional Details	Criteria Met (Yes, No, or NA)
Local Project Sponsor Eligibility	Does each Local Project Sponsor meet all applicable eligibility requirements?	Each Local Project Sponsor must meet the same eligibility requirements as the applicant.	See specific requirements above	<b>Utilize same checklist as above for each Local Project Sponsor</b>
Proposal Eligibility	Does the proposal address risk from Climate Change?	Applicant to complete grant application. Indicate which project(s) in proposal address risk from Climate Change.	2022 Guidelines Section II.C. & PSP Section II.C, Exhibit A	
Proposal Eligibility	Does the proposal contribute to regional water self-reliance?	Applicant to complete grant application. Indicate how a project(s) in the proposal contributes to regional water self-reliance (reduces reliance on the delta). OR Provide explanation why the proposal does not need to contribute to regional water self-reliance.	2022 Guidelines Section II.C. & PSP Section II.C, Exhibit A	
Proposal Eligibility	For regions where nitrate, arsenic, perchlorate, or hexavalent chromium contamination (AB 1249 contaminants) have been identified, does the proposal include a project(s) to address existing contamination?	Applicant to complete grant application. Indicate how a project(s) in proposal addresses AB 1249 contaminants. OR Provide explanation why the proposal does not include that kind of project(s).	2022 Guidelines, Section II.B	
Project Eligibility	Is the project an eligible project type?	Complete the grant application.	2022 Guidelines, Section II.C & PSP Section II.B	
Project Eligibility	If applicable, does the project have a useful life consistent with Government Code 16727?	Complete self-certification form.	PSP Section II.C, Exhibit A	
Project Eligibility	If the project is a stormwater and/or dry weather runoff capture project, is it included in a Stormwater Resource Plan (or functionally equivalent plan) that has been incorporated into an IRWM Plan, if applicable?	Complete self-certification form.	2022 Guidelines Section II.B, Section II.C & PSP Section V.B.3	

**TABLE 1: IRWM Implementation Grant Eligibility Checklist (cont.)**

Criteria Type	Eligibility Criteria	Required Documentation	Additional Details	Criteria Met (Yes, No, or NA)
Project Eligibility	The project must have a quantified primary benefit that addresses the critical water management needs of the Region unless the project is a Decision Support Tool.	Complete the grant application.	PSP Section II.C, Exhibit A	
Project Eligibility	If the project affects groundwater in a high or medium priority basin, does it have the support of the local Groundwater Sustainability Agency (GSA) or agency responsible for implementing an Alternative Plan?	Complete self-certification form.	2022 Guidelines Section II.B, PSP Section V.B.3	
Project Eligibility	Is the project included in an IRWM Plan that is consistent with the 2016 IRWM Plan Standards?	Complete self-certification form.	2022 Guidelines Section II.B & PSP Section II.B, Section VIII	
Project Eligibility	Will the project be complete, including project closeout and retention, by December 31, 2027?	Complete the grant application.	PSP Exhibit A	

NOTES

- 1) Eligibility Criteria compliance required only if applicable to the Grantee or Local Project Sponsor.

### III. FUNDING

Proposition 1 authorized \$510 million for DWR to award IRWM grants, with specified allocations to 12 Funding Areas in California (Water Code §79744). Of the \$510 million, \$25.5 million (5%) is designated for DWR's grant administration costs, \$15.3 million (3%) is designated for DWR's planning and monitoring costs, and \$10.2 million (2%) is designated for other State costs (issuance of bonds). Previously awarded funds include \$4.2 million for Planning Grants, \$51.7 million for DAC Involvement Grants, and \$211.06 million for Implementation Grants.

As required by legislation (Water Code §79742(d)), at least 10% of authorized funds (\$51 million) will be reserved for projects that directly benefit Disadvantaged Communities, with the minimum 10% requirement applied to each Funding Area.

This PSP is applicable to the Round 2 Implementation Grant solicitation, which will award approximately \$192 million in grant funds. This is the final solicitation of the Proposition 1 IRWM grant funding. Table 2 provides a complete breakdown of this funding allocation.

DWR will solicit proposals to award funding on a competitive basis in two funding categories:

- *DAC Implementation Project Fund:* DAC Implementation Projects include only those projects that provide at least 75% of their primary benefit directly to a DAC. See Appendix E of the 2022 Guidelines for information on how to determine if a community is a DAC. Funding awarded under this category will count toward the 10% minimum required per Funding Area to be awarded to implementation projects that directly benefit a DAC.
- *General Implementation Project Fund:* General Implementation Projects include all other eligible implementation projects.

Every project within a proposal must fall within one of the two funding categories described above. At the time of application, applicants should select a single funding category they would like each project to be considered under. Projects that qualify as a DAC Implementation Project (*i.e.*, projects that provide at least 75% of their primary benefit directly to a DAC) may be funded from the General Implementation Project Fund if DAC Implementation funds are completely utilized.

The maximum amount of funds awarded through Proposition 1 for any single Funding Area cannot exceed the values shown in Table 2. However, additional funds may become available due to project changes in Round 1 Implementation grant agreements. This may occur if project(s) fail to meet the CEQA placeholder requirement, or due to project schedule delays and other unforeseen reasons. Table 2 will be posted on the Program website and will be updated by DWR as necessary, followed by e-mail announcements of the changes. Due to anticipated demand for funding and the limited amount of funding available, individual applicants may receive less than the full amount requested.

**TABLE 2: Proposition 1 IRWM Implementation Grant Funding**

			Previous Grant Awards					Available Funds in Round 2 <sup>2</sup>	
Column A	Column B	Column C	Column D	Column E	Column F	Column G	Column H	Column I	Column J
Funding Area	Proposition 1 Allocation	State Costs <sup>1</sup>	Planning Grant	DAC Involvement	Round 1 Implementation Grant Total	Round 1 Implementation DAC Funds	Round 1 Implementation General Funds	Implementation DAC Funds <sup>3</sup>	General Implementation Funds <sup>3</sup>
North Coast	\$26,500,000	\$2,650,000	\$ -	\$2,650,000	\$12,720,000	\$1,590,000	\$11,130,000	\$1,060,000	\$7,420,000
San Francisco Bay	\$65,000,000	\$6,500,000	\$ -	\$6,500,000	\$22,750,000	\$-	\$22,750,000	\$6,500,000	\$22,750,000
Central Coast	\$43,000,000	\$4,300,000	\$281,118	\$4,300,000	\$14,364,482	\$2,150,000	\$12,214,482	\$2,150,000	\$17,604,400
Los Angeles	\$98,000,000	\$9,800,000	\$ -	\$9,800,000	\$37,730,000	\$3,430,000	\$34,300,000	\$6,370,000	\$34,300,000
Santa Ana	\$63,000,000	\$6,300,000	\$250,000	\$6,300,000	\$23,091,428	\$2,205,000	\$20,886,428	\$4,095,000	\$22,963,572
San Diego	\$52,500,000	\$5,250,000	\$250,000	\$5,551,350	\$19,456,264	\$3,680,000	\$15,776,264	\$1,570,000	\$20,422,386
Sacramento River	\$37,000,000	\$3,700,000	\$314,222	\$3,700,000	\$27,608,320	\$3,700,000	\$23,908,320	\$-	\$1,677,458
San Joaquin River	\$31,000,000	\$3,100,000	\$215,125	\$3,100,000	\$16,006,627	\$2,800,000	\$13,206,627	\$300,000	\$8,278,248
Tulare/Kern	\$34,000,000	\$3,400,000	\$751,888	\$3,400,000	\$11,961,102	\$3,400,000	\$8,561,102	\$ -	\$14,487,010
North/South Lahontan	\$24,500,000	\$2,450,000	\$841,030	\$2,450,000	\$12,073,702	\$1,280,540	\$10,793,162	\$1,169,460	\$5,515,808
Colorado River	\$22,500,000	\$2,250,000	\$1,211,982	\$2,636,488	\$7,863,265	\$787,500	\$7,075,765	\$1,462,500	\$7,075,765
Mountain Counties	\$13,000,000	\$1,300,000	\$83,906	\$1,300,000	\$4,416,482	\$455,000	\$3,961,482	\$845,000	\$5,054,612
<b>Total</b>	<b>\$510,000,000</b>	<b>\$51,000,000</b>	<b>\$4,199,271</b>	<b>\$51,687,838</b>	<b>\$210,041,672</b>	<b>\$25,478,040</b>	<b>\$184,563,632</b>	<b>\$25,521,960</b>	<b>\$167,549,259</b>
								<b>Total Round 2</b>	<b>\$193,071,219</b>

**NOTE:**

- 1) Includes State Administration (5%); Program Planning and Monitoring (3%); and Bond Costs (2%). Subject to change depending on future budget appropriations.
- 2) Available fund amounts reflect the data as of the date of PSP release and may change based on the amendments to Round 1 Grant Agreements.
- 3) See PSP, Section III for definition of funding categories.

## A. Local Cost Share

Proposition 1 requires a minimum cost share of 50% of the total project costs (Water Code §79742(C)). Applicants must demonstrate that on average a minimum of 50% of the total project costs in each proposal will be paid for with non-State funds. Grant administration costs **are not** included in the calculation of total project costs; however, administration costs for individual projects **are** included in total project costs. Costs incurred after January 1, 2015 (the effective date of Proposition 1) can be used as local cost share; in-kind services may also be used for local cost share.

An applicant may request the local cost share requirement be waived or reduced for projects that directly benefit one or more DACs and/or Economically Distressed Areas (EDAs). Appendices E and F in the 2022 Guidelines provide details regarding what documentation must be submitted to support DACs and/or EDAs determination. To determine if a cost share waiver applies, DWR will review and evaluate documentation submitted in the application supporting the DAC/EDA project benefit area claimed (Table 3: Grant Application Questions and Attachment 5). Decision support tools may be eligible for cost share waivers based on the percentage of described potential water-related benefits to a DAC and/or EDA. For example, if a decision support tool will contribute to the design or operation of an implementation project, planned or potential quantitative benefits may also be used to qualify for a cost share waiver. Project benefits may be claimed based on either population or geographic area. If documentation submitted is reasonable, cost share waivers will be determined as follows:

DAC/EDA Benefit	Percent (%) Cost Share Required
75% - 100%:	0%
50% - 74%:	12.5%
25% - 49%:	25%
Less than 25%:	50%

## B. Reimbursement Date

For this grant solicitation, costs incurred after the Final Award date will be eligible for reimbursement. This date may be different for each Funding Area as discussed in the solicitation schedule below. Reimbursable costs are defined in Appendix B of the 2022 Guidelines.

## C. Grant Reimbursement Limitations

Travel is only a reimbursable expense for projects providing at least 75% of benefits to DACs, EDAs, and/or Tribes (based on population or geographic area). Only ground transportation and lodging are eligible for grant reimbursement; per diem costs will not be eligible for grant reimbursement. DWR approval is required prior to travel outside of the IRWM region.

## D. Disadvantaged Community Benefits

Waivers and exemptions are available for projects that provide a direct water-related benefit (i.e., water supply, water quality, flood management, etc.) to a DAC. DWR will use information provided in the grant application to evaluate the application and determine the following:

- Eligibility for funding under the DAC Implementation Project category (“Funding” on page 10)

- Eligibility for full or partial waiver(s) of required cost share (“Local Cost Share” on page 12)
- Eligibility of travel expenses (“Grant Reimbursement Limitations” on page 12)

DWR strongly recommends that applicants consult Appendix E of the 2022 Guidelines to determine if the project benefit area includes a DAC. DACs should be defined using the most recent Median Household Income (MHI) data available. The project benefit area (by population or geographic area) is determined by the area receiving the primary benefit from the project, not by the physical location of the project. The primary benefit must be a quantified direct benefit of the project (unless the project is a Decision Support Tool, in which case potential benefits are acceptable). Applicants should ensure the description of the DAC is adequate for DWR to determine whether the communities meet the definition of a DAC.

Applicants must provide the following information for each project claiming benefits to a DAC:

- Percentage of the project’s primary water-related benefit (by population or geographic area) provided directly to a DAC.
- Information that supports that the project benefits a DAC(s), such as a map or shapefile that shows the project benefit area and the location of the DAC(s) receiving the benefit.
- Information supporting that the community is a DAC such as the average MHI. Where the lack of representative census data that adequately represents the community can be documented, alternative studies (older census data, local income surveys, a subset of a block group, older American Community Survey (ACS) data, *etc.*) may be submitted in the Attachment 5. Include justification for the use of alternate data.
- To determine the MHI for DACs, applicants may use a single type of census geography or combinations of census geographies that best represent the DAC.

For the applicants with GIS capability, the GIS data files used within the DAC mapping tool are available to download and use; see the link provided in Foreword. These GIS files will allow applicants to combine project area shape files with DAC data layers. This will help applicants show the extent of overlap or project areas with DACs.

Please note that the American Community Survey (ACS) of the U. S. Census provides a dataset that can be used as a source to estimate a community’s MHI. The most recent and comprehensive data available for this solicitation is for the 5-year period of 2016-2020. The ACS data gives estimates of MHI for different census geographies, such as for states, counties, census places (incorporated cities and unincorporated towns), census tracts, and census block groups. For additional information on the ACS or other methods for determining MHI, see the link listed in Proposition 1 IRWM Guidelines, Appendix A.

## E. Economically Distressed Area

There are several benefits to projects that provide a direct water-related benefit (*i.e.*, water supply, water quality, flood management, *etc.*) to an EDA. DWR will use the information in the grant application to evaluate the application and to determine the following:

- Eligibility for full or partial waiver(s) of required cost share (“Local Cost Share” on page 12)
- Eligibility of travel expenses (“Grant Reimbursement Limitations” on page 12)

DWR strongly recommends that applicants consult Guidelines, Appendix F, to determine if the project benefit area includes an EDA. Applicants should ensure the description of the EDA is adequate for DWR to determine whether the community meets the definition. Applicants must provide the following information for each project claiming benefits to an EDA(s):

- Percentage of the project’s primary water related benefit (by population or geography) provided directly to an EDA.

- Include information that supports the project benefits an EDA(s), such as a map or shapefile that shows the project benefit area and the location of the EDA(s) receiving the benefit.
- Where the lack of representative census data that adequately represents the community can be documented, alternative studies (local income surveys, a subset of a block group, etc.) may be substituted in Attachment 5.
- In determining the MHI for EDAs, applicants may use a single type of census geography or combinations of census geographies that best represent the EDA.

For the applicants with GIS capability, the GIS data files used within the EDA mapping tool are available to download and use; see the link provided in the Foreword. These GIS files will allow applicants to combine project area shape files with EDA data layers. This will help applicants show the extent of overlap or project areas with EDA.

## IV. SOLICITATION SCHEDULE

The schedule for this solicitation is posted on the DWR website (listed in the Foreword). Updates may also be distributed through e-mail announcements. For parties that are not currently subscribed to the IRWM mailing list and wish to receive updates on the IRWM Grant Program via email, please visit the Program website to sign up.

## V. APPLICATION INSTRUCTIONS

This section provides instructions for preparing and submitting a grant application. It is important that applicants follow the Application Instructions to ensure that their application will address all requirements. Applicants are reminded that once the application has been submitted to DWR, any privacy rights, as well as other confidentiality protections afforded by law with respect to the application, will be waived. DWR will not knowingly disclose information related to Native American sacred places per Government Code section 6254(r).

### A. Solicitation Process

DWR has implemented a streamlined application process for certain eligible applicants. Applications that satisfy **both** of the following requirements will not be scored by DWR: 1) the relevant Funding Area must provide written assurance that its funding request will not exceed the available funding (these Funding Areas will hereafter be referred to as cooperative Funding Areas), and 2) the applicant must submit documentation verifying that projects included in the proposal were vetted and selected via a competitive process that conforms to the requirements of Proposition 1 for project selection processes. For the purposes of this solicitation, DWR is categorizing Funding Areas into two groups: 1) Funding Areas that provide written assurance that their funding request will not exceed the available funding (see Table 2 for available funds) will hereafter be referred to as "Cooperative Funding Areas" and 2) Funding Areas that request more funding than is available to them will be referred to as "Competitive Funding Areas."

Deadlines:

This solicitation process consists of two application deadlines. Funding Areas may choose to apply by either of the application deadlines. All Regions within a Funding Area must submit their applications by the same deadline. However, DWR may allow Regions within the Cooperative Funding Area to submit applications by different deadlines

Application:

Each applicant must submit a complete application on-line using DWR's Grants Review and Tracking System (GRanTS) on behalf of the IRWM region(s) and/or Funding Area. See Section V.B. of this PSP for more information on how to submit an application. The application consists of sections or "Tabs" as

outlined in Table 3: Grant Application Outline, which is provided as a guide for the applicants to ensure that they have submitted the required information for a complete application.

All applications from IRWM Regions within Competitive Funding Areas will be scored according to the scoring criteria detailed in Table 4 – Scoring Criteria.

Applications from Regions in Cooperative Funding Areas will not be scored by DWR if the applicant is able to submit documentation verifying that all projects included in the proposal were vetted and selected via a competitive process that conforms to Proposition 1’s project selection process requirements. These Regions must use Attachment 7 to demonstrate these requirements have been met. If an IRWM Region’s selection process does not conform to the Proposition 1 requirements, the proposal will be scored using the scoring criteria detailed in Table 4 – Scoring Criteria, even if that IRWM Region is in a Cooperative Funding Area and a full application will be requested during the completeness and eligibility review.

## B. How to Submit Application

### 1. Electronic Submittal

Applicants must submit the required attachments using DWR’s GRanTS electronic submittal tool. GRanTS can only be accessed with Internet Explorer and Google Chrome. The online GRanTS application can be found at the following link:

<http://www.water.ca.gov/grants/>

The name of this PSP in GRanTS is “P1R2IG.” To access this PSP, applicants must have an account in GRanTS or register if they have not already done so. Local Project Sponsors that are registered in GRanTS can be selected in the drop-down menu of the “Implementing Organization” of the “Projects Tab.”

Applicants are encouraged to watch the “How to Register” and “How to Create a Grant Application” videos, review the GRanTS Public User Guide and Frequently Asked Questions available at the above link, and set up their GRanTS account well in advance of the application deadline. If an applicant has issues with GRanTS or questions related to the application, they may contact DWR at the phone number or e-mail listed in the Foreword.

The grant application in GRanTS consists of multiple sections or “tabs,” outlined in Table 3. Within GRanTS, pull down menus, text boxes, or multiple-choice selections will be used to submit answers to the questions. GRanTS will allow applicants to type text or cut and paste information from other documents directly into a GRanTS submittal screen.

When uploading an attachment in GRanTS, the following attachment title naming convention must be used:

Att#\_P1R2IG\_ AttachmentName\_#ofTotal#

Where:

- a. “Att#” is the attachment number
- b. “P1R2IG” is the code of this solicitation
- c. “AttachmentName” is the name of the attachment as specified in Section V.B.3 – Attachment Instructions
- d. “#ofTotal#” identifies the number of files that make up an attachment, where “#” is the number of a file and “Total#” is the total number of files submitted in the attachment

For example, if the Attachment 1 – Authorization and Eligibility Requirements is made up of three files, the second file in the set would be named “Att2\_P1R2IG\_ Eligible\_2of3”.

File size for each attachment submitted via GRanTS is limited to 2 gigabytes (GB). Breaking



documents into components such as chapters or logical components so that files are less than 50 MB will aid in uploading files. Files must be submitted in PDF format unless otherwise noted. PDF files should be generated, if possible, from the original file rather than scanned hard copy. Attachments 2, 3, and 4 must be submitted using the forms provided on the website in a Microsoft Word format.

## 2. Grant Application Questions

The following table outlines the required application questions as they will appear in the GRanTS system with instructions on how to answer each question.

**Table 3: Grant Application Outline**

<b>APPLICANT INFORMATION TAB</b>	<b>Cooperative<sup>1</sup></b>	<b>Competitive</b>
<i>The following information is general and applies to the applicant and the overall proposal. Specific project information should be detailed in the project tab provided in the GRanTS application.</i>		
<b><u>APPLICANT INFORMATION</u></b>		
<u>Organization Name</u> : Provide the name of the Agency/Organization responsible for submitting the application. Should the application be successful, this Agency/Organization will be the Grantee.	X	X
<u>Point of Contact</u> : Please type the First Name, Last Name, Email Address, Phone Number, Division Name, Address, City, State, and Zip of the Point of Contact person. Should the proposal be successful, this person will be the Point of Contact for the grant agreement.	X	X
<u>Point of Contact Position Title</u> : This should be the day-to-day manager for the application.	X	X
<u>Proposal Name</u> : Please enter Proposition 1 Round 2 <IRWM Region Name> IRWM Implementation Proposal. (Maximum Character Limit: 150)	X	X
<u>Proposal Objective</u> : Please briefly describe the proposal.	X	X
<b><u>BUDGET</u></b>		
<i>For the proposal, the following budget items should be transferred from the Proposal Summary Form where applicable.</i>		
<u>Other Contribution</u> : Leave blank; information is requested later in the application.		
<u>Local Contribution</u> : Leave blank; information is requested later in the application.		
<u>Federal Contribution</u> : Leave blank; information is requested later in the application.		
<u>In-kind Contribution</u> : Leave blank; information is requested later in the application.		

<sup>1</sup> IRWM Regions in cooperative Funding Areas whose project selection processes conform to the Proposition 1 requirements only need to fill out the sections indicated in this column. All other applicants will need to fill out the sections indicated in the column titled Competitive.

<u>Amount Requested (Grant Funds Requested)</u> : Provide the amount of total grant funds requested.	X	X
<u>Total Proposal Cost</u> : Provide the total proposal cost, in dollars. Total proposal cost is automatically calculated based on the contribution amounts entered above.		
<b><u>GEOGRAPHIC INFORMATION</u></b>		
<i>GRanTS requests latitude and longitude in degrees, minutes, and seconds. You may use converters on the web such as <a href="https://www.fcc.gov/media/radio/dms-decimal">https://www.fcc.gov/media/radio/dms-decimal</a>.</i>		
<u>Latitude</u> : Project Location.	X	X
<u>Longitude</u> : Project Location.	X	X
<u>Longitude/Latitude Clarification</u> : Only use if necessary. (Maximum Character Limit: 250)	X	X
<u>Location</u> : Leave blank.		
<u>County(ies)</u> : Provide the county in which the IRWM region(s) is located. If the IRWM region covers multiple counties hold the control key down and select all that apply.	X	X
<u>Groundwater Basins</u> : Leave blank.		
<u>Hydrologic Regions</u> : Leave blank.		
<u>Watershed(s)</u> : Leave blank.		
<b><u>LEGISLATIVE INFORMATION</u></b>		
Enter the State Assembly, State Senate, and U.S. Congressional Districts in which the IRWM region is located (use district numbers only, not the name of the Legislator). For IRWM regions that include more than one district, Hold the control key down and select all that apply.	X	X
<b><u>PROJECTS TAB</u></b>		
<i>The Project tab is required by GRanTS and cannot be deleted. The Projects Tab should <b>not</b> be used for your application but rather filled in with data as indicated below. Please utilize the appropriate Project Information tab for Project 1, 2, 3, etc. of your proposal. For grant admin, the only fields that need to be completed are the budget information. There are a number of these tabs to accommodate large proposals.</i>		
<u>Project Name</u> : Re-enter the proposal name. (Maximum Character Limit: 150)	X	X
<u>Implementing Organization</u> : Leave blank.		

<u>Secondary Implementing Organization</u> : Leave blank.		
<u>Proposed Start Date</u> : Leave blank.		
<u>Proposed End Date</u> : Leave blank.		
<u>Scope of Work</u> : Leave blank.		
<u>Project Description</u> : Leave blank.		
<u>Project Objective</u> : Leave blank.		
<b><u>PROJECT BENEFITS INFORMATION</u></b>		
<i>Please do not enter any information into GRanTS for the following Project Benefits Questions. They are standard GRanTS questions and cannot be removed but are unnecessary for implementation grant applicants.</i>		
<u>Benefit Level</u> : Leave blank.		
<u>Benefit Type</u> : Leave blank.		
<u>Benefit</u> : Leave blank.		
<u>Description</u> : Leave blank.		
<u>Measurement</u> : Leave blank.		
<b><u>BUDGET</u></b>		
<i>Please do not enter any information into GRanTS for the following Project Budget Questions. They are standard GRanTS questions and cannot be removed but are unnecessary for implementation grant applicants.</i>		
Use the "Copy Budget data from Applicant Info" feature to populate previously entered data. The total project cost will auto calculate.	X	X
<b><u>GEOGRAPHIC INFORMATION</u></b>		
Enter the geographical information for the proposal location (latitude and longitude in degrees, minutes, and seconds). Enter the County. Leave all other fields blank.	X	X
<b><u>LEGISLATIVE INFORMATION</u></b>		
Use the "Copy Legislative data from Applicant Info" feature to populate previously entered data.	X	X
<b>IRWM REGION, APPLICATION ATTACHMENTS, APPLICATION PROJECT TABS</b>		
<i>The answers to these questions will be used in processing the proposal and determining eligibility and completeness.</i>		

<b>IRWM REGION TAB</b>		
<u>Funding Area Information</u> : Provide the IRWM funding area(s) in which funding is being requested.	X	X
<u>Are AB1249 contaminants found in the IRWM region? If so, and no project is included in the proposal to address these contaminants, please provide an explanation of why a project is not included.</u>	X	X
<u>If the IRWM region has been identified as an area where contaminants listed in AB 1249 exist, does this project(s) address the contaminant(s)? If yes, describe how the project helps address contaminants.</u>	X	X
<u>Does the proposal contribute to regional self-reliance (reduce reliance on the Sacramento-San Joaquin River Delta)? If region is not reliant on the Delta, please provide an explanation.</u>	X	X
<u>Does the proposal address risk from Climate Change? Please identify which specific projects address risk from climate change?</u>	X	X
<u>Acknowledgement</u> : By clicking on Yes on this question, the applicant certifies that all information included in this application is true and correct, and the applicant has made his/her best efforts to confirm the veracity of its contents as of the date of submission of this application.	X	X
<b>APPLICATION PROJECT TAB</b>		
<u>Project Name</u> : Enter the project name.	X	X
<u>Local Partner Sponsor</u> : Enter the local partner sponsor name.	X	X
<u>Provide project map in a PDF format</u> : Maximum file size is 2GB. Please be sure to include the DAC/EDA/Tribe area and benefits if claiming a benefit.	X	X
<u>Funding Category (dropdown menu)</u> : Select either the DAC Implementation Project Fund or the General Implementation Project Fund.	X	X
<u>Project Type</u> : Select the most appropriate project type. See Section II.C. of the 2022 Guidelines for a description of project types.	X	X
<u>If "other" is selected for project type, please write in the space provided the proposed project type.</u>		
<u>Grant Amount Requested</u> : Enter the amount of Round 2 funds being requested for the project.	X	X
<u>Non-State Cost Share</u> : Enter the amount of non-state cost share for the project.	X	X

<u>Other Cost Share</u> : Enter the amount of any other cost share for the project.	X	X
<u>Cost Share Waiver Requested</u> : Select the level of cost share requested for the project (50%, 25%, 12.5%, or 0%).	X	X
<b><u>GEOGRAPHIC INFORMATION</u></b>		
Enter the geographical information for the project location (latitude and longitude in degrees, minutes, and seconds).	X	X
Project County	X	X
<b><u>PROJECT LEVEL EVALUATION</u></b>		
<u>Primary Benefit Level</u> : Please select the primary benefit level of the project.	X	X
<u>Primary Benefit Unit</u> : Please select the benefit unit.	X	X
<u>Secondary Benefit Level</u> : Please select the secondary benefit level of the project.	X	X
<u>Secondary Benefit Unit</u> : Please select the benefit unit.	X	X
<u>Qualitative benefit</u> : If this is a decision support tool, please describe the qualitative benefit of the project including what decisions will be informed by this tool.	X	X
<u>Description</u> : Please briefly describe how the project will achieve the claimed benefits.	X	X
<u>Does the project provide physical benefits to more than one IRWM region and/or Funding Area? If yes, describe.</u>		X
<u>If the project addresses AB1249 contaminants, does the project provide safe drinking water to a small disadvantaged community?</u>		X
<u>Does the project employ new or innovative technology or practices? If yes, describe.</u>		X
<u>Does the project provide a benefit(s) to a DAC and/or EDA? : Select yes or no.</u>	X	X
<u>What percentage of project benefit will go to a DAC and/or EDA? : Provide a numeric percentage of the project benefits that go to a DAC and/or EDA.</u>	X	X
<u>If the project provides a benefit to a DAC and/or EDA please describe the benefit, the percentage of project benefit and justification for the benefit level, and how the area meets the definitions of a DAC and/or EDA.</u>	X	X
<u>Does the project provide a benefit(s) to a Tribe?: Select yes or no.</u>	X	X
<u>What percentage of the project benefit will go to a Tribe?: Provide a numeric percentage of the project benefits to a Tribe.</u>	X	X
<u>If the project provides a benefit to a Tribe please include the name of the Tribe, the percentage of project benefits directly benefitting the Tribe, and justification for the benefit level.</u>	X	X

**PROPOSAL ATTACHMENTS TAB**

Provide the attachments listed below by attaching files to the GRanTS proposal. When attaching files, please use the naming convention found in Section V.B.1 of this PSP. For instructions on attaching files, please watch the "How to Complete a Grant Application" video and refer to the GRanTS User Manual. Requirements for information to be included in these attachments are found in Section V.B.3 of this PSP.

	<b>Attachment #</b>	<b>Attachment Title</b>	<b>GRanTS Naming Convention "Attachment Name"</b>
	Attachment 1	Authorization and Eligibility Requirements	"Eligible"
	Attachment 2	Work Plan	"Work Plan"
	Attachment 3	Budget	"Budget"
	Attachment 4	Schedule	"Schedule"
	Attachment 5 <sup>2</sup>	DAC/EDA	"DAC"
	Attachment 6	Climate Change	"Climate"
	Attachment 7 <sup>3</sup>	Review Process	"Review"

<sup>2</sup> Attachment 5 is only required if additional information such as an MHI survey needs to be submitted.

<sup>3</sup> Attachment 7 is required only if a region is part of a Funding Area that will not submit a total request that exceeds the amount available.

### 3. Attachment Instructions

Applicants are required to submit Attachments 1 through 4 and Attachment 6 for a complete Round 2 Implementation Grant Solicitation application. Attachments 5 and 7 are required if applicable (see discussion of each attachment below).

All applicable attachments should be uploaded to the Proposal Attachment tab in GRanTS. When attaching files, please use the naming convention found in Section V.B.1 of this PSP. For instructions on attaching files, please watch the "How to Complete a Grant Application" video and refer to the GRanTS User Manual.

#### **ATTACHMENT 1. AUTHORIZATION AND ELIGIBILITY REQUIREMENTS**

Attachment 1 consists of authorization and eligibility documentation, including the items listed below. Required documents must be completed and included in Attachment 1, where applicable, for compliance. Please note that the grant applicant and each Local Project Sponsor must meet all applicable eligibility requirements.

- Y Authorizing Documentation
- Y Eligibility Self Certification Forms
- Y Support letter from Groundwater Sustainability Agency, if applicable
- Y Map of Local Partner Sponsor service area showing CASGEM exemption, if applicable

**Authorizing Documentation** – The applicant must provide a resolution adopted by the applicant’s governing body designating an authorized representative to submit the proposal and execute an agreement with the State of California for a Round 2 IRWM Implementation Grant.

The following text box provides an example of the resolution that must be submitted to fulfill this requirement:

RESOLUTION NO. \_\_\_\_\_

Resolved by the <Insert name of governing body, city council, organization, or other> of the <Insert name of agency, city council, organization, or other>, that proposal be made to the California Department of Water Resources to obtain a Round 2 Integrated Regional Water Management Implementation Grant pursuant to the Water Quality, Supply, and Infrastructure Improvement Act of 2014 (Water Code § 79700 et seq.), and to enter into an agreement to receive a grant for the: <Insert name of proposal>. The <Insert title – Presiding Officer, President, Agency Manager, or other officer> of the <Insert name of agency, city, county, organization, or other>, or designee, is hereby authorized and directed to prepare the necessary data, conduct investigations, file such proposal, and execute a grant agreement or any amendments thereto with California Department of Water Resources.

Passed and adopted at a meeting of the <Insert name of agency, city, county, organization, or other> on <Insert date>.

Authorized Original Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_

Clerk/Secretary: \_\_\_\_\_



## **Self-Certification for Eligibility**

Each applicant must complete and submit a self-certification form (found on the website listed in the Foreword) for the following eligibility items.

### **Eligible Applicant Documentation**

Eligible applicants are public agencies, 501(c)(3) non-profit organizations, public utilities, federally recognized Indian Tribes, California State Indian Tribes, and mutual water companies, as defined in Appendix B of the 2022 Guidelines.

DWR encourages potential applicants to reach out to DWR regarding any eligibility concerns prior to submitting an application.

### **Submittal of an Updated IRWM Plan**

Regions must have an IRWM Plan updated to the 2016 Plan Standards approved by DWR and adopted by the applicant and all local project sponsors.

### **Urban Water Management Compliance**

Each urban water supplier must:

- Have a current 2020 Urban Water Management Plan (UWMP) verified by DWR that addresses the requirements of the California Water Code (CWC). If an urban water supplier's 2020 UWMP has not been verified by DWR, explain and provide the anticipated date for having a 2020 UWMP that addresses the requirements of the CWC, including interim target reporting, as applicable in the self-certification form.
- Have a complete and validated water loss audit report verified by DWR in accordance with Senate Bill No. 555 (SB 555).
- If applicable, be compliant with the water metering requirements contained in CWC §525 et seq. Each urban water supplier proposing wastewater projects, water use efficiency projects, or drinking water projects must be compliant.
- Comply with the State Water Resources Control Board's Water Conservation and Production Reporting requirement. Reports are due on the 28th of each month and can be filed using the reporting tool at <https://drinc.ca.gov/Drinc/MonitoringReportInfo.aspx>.

### **Agricultural Water Management and Measurement Compliance**

Each agricultural water supplier that provides water to less than 10,000 irrigated acres, excluding recycled water must:

- Indicate on the self-certification form that the supplier provides water to less than 10,000 irrigated acres, excluding recycled water.

or:

- Indicate on the self-certification form that each supplier's Agricultural Water Management Plan (AWMP) addresses the CWC and Executive Order (EO) B-29-15 requirements. If an agricultural water supplier's AWMP has not been verified by DWR, explain and provide the anticipated date for having an AWMP that addresses the CWC and EO B-29-15 requirements.

Each agricultural water supplier that provides water to *less than 25,000 irrigated acres*, excluding recycled water must:

- Indicate on the self-certification form the supplier provides water to less than 25,000 irrigated acres, excluding recycled water, or is otherwise deemed exempt from CWC Efficient Water Management Practices (EWMPs) and the Water Measurement Regulation.

or:

- Indicate on the self-certification form that each supplier addresses the CWC requirements for implementing EWMPs including: Water Measurement, Volumetric Water Pricing, and all technically feasible and locally cost-effective EWMPs listed in CWC; and
- Indicate on the self-certification form that each supplier implements the Water Measurement Regulation, including AWMP reporting requirements.

or:

- Submit to DWR for approval a schedule, financing plan, and budget, to be included in the grant or loan agreement, for implementation of the EWMPs. The supplier may request grant or loan funds to implement the efficient water management practices to the extent the request is consistent with the eligibility requirements applicable to the water funds.

To comply with the farm-gate delivery requirements, each agricultural water supplier must complete the self-certification form based on the agricultural water supplier's irrigated acres.

- For those agricultural water suppliers that supply less than 2,000 acre-feet of water or water to less than 2,000 irrigated acres, excluding recycled water, provide the suppliers supply of water or irrigated area.

or:

- For those agricultural water suppliers that supply water to areas greater than 2,000 irrigated acres, verify that the annual aggregated monthly or bi-monthly farm-gate delivery data has been provided to DWR.

And/or:

- For those suppliers that provide water to less than 25,000 irrigated acres, complete the self-certification form and indicate that the implementation of a program or practices to measure farm-gate deliveries using Best Professional Practices is not locally cost-effective.

## Surface Water Diverter Compliance

List the surface water diverters that will receive funding from the proposed grant on the self-certification form. If there are none, please indicate so. For the listed surface water diverters, state whether they have submitted to the State Water Resources Control Board (SWRCB) their annual surface water diversion reports in compliance with requirements outlined in Part 5.1 (commencing with §5100) of Division 2 of the CWC and Chapter 2.7 Water Diversion and Use Reports (commencing with §907) in Title 23. If a surface water diverter has not, explain and provide the anticipated date for meeting the requirements.

## Groundwater Management Compliance

Attachment 1 must include the documentation requested below for each project, as applicable.

Each local sponsor or applicant implementing groundwater projects or projects directly affecting groundwater levels or quality must be compliance with CWC §10753 regarding Groundwater Management Plans (GWMPs). Please note that projects that affect groundwater levels or quality located in a CASGEM High or Medium priority groundwater basin without an adopted GWMP in compliance of CWC §10753 before January 1, 2015 will not be eligible (CWC§10750.1(a)).

For groundwater projects or other projects that directly affect groundwater levels or quality in a high or medium priority basin, documentation that the project has support from the Groundwater Sustainability Agency of the impacted groundwater basin(s), or the agency responsible for implementing an alternative plan should be included in Attachment 1. If it is not clear from the application that a project does not affect groundwater, please also include an explanation in Attachment 1.

Note that Local Project Sponsors that receive a grant award will have to maintain compliance with Division 6, §10000 et seq. of the CWC as part of continuing eligibility requirements. Groundwater Management compliance requirements may be revised or updated based upon the implementation of the Sustainable Groundwater Management Act of 2014 (SGMA).

## CASGEM Compliance

DWR has prioritized the groundwater basins. The CASGEM Program description, along with the basin prioritization information, can be found at: [https://water.ca.gov/Programs/Groundwater-Management/Groundwater-Elevation-Monitoring-- CASGEM](https://water.ca.gov/Programs/Groundwater-Management/Groundwater-Elevation-Monitoring--CASGEM)

For the high and medium priority basins that do not have a CASGEM monitoring entity, the grant applicant and Local Project Sponsor that match the list of potential monitoring entities identified in CWC §10927, along with the counties whose jurisdictions include unmonitored high and medium priority basins, will not be eligible to receive Round 2 IRWM Grant funding (CWC §10933.7(a)). Consistent with CWC §10933.7(b), if the entire service area of the grant applicant or the individual Local Project Sponsor's service area is demonstrated to be a DAC, as defined in the 2022 Guidelines, Appendix B, the project will be considered eligible for grant funding notwithstanding CASGEM compliance. If the Local Project Sponsor is exempt, a map must be included in Attachment 1 that shows the Project's implementing agency's service area boundary. The map should include a DAC overlay to demonstrate the project is exempt.

**The grant applicant and Local Project Sponsor(s) must be CASGEM compliant by the application due date. If the applicant is found ineligible, the entire application may be considered ineligible.**

CASGEM compliance may be revised or updated based upon the latest requirements from SGMA.

## **Stormwater Management Plan Compliance**

For all Stormwater projects and/or dry weather runoff capture projects the project must be included in a Stormwater Resource Plan (or Functionally Equivalent Stormwater Resource Plan) that has been incorporated into an IRWM plan, or the project must benefit a DAC with a population of 20,000 or less that is not a co-permittee for a municipal separate stormwater system national pollutant discharge elimination system permit issued to a municipality with a population greater than 20,000.

### **ATTACHMENT 2. WORK PLAN**

For the "AttachmentName" in the naming convention of GRanTS, use "WorkPlan" for this attachment.

Attachment 2 must contain descriptions of the anticipated tasks necessary to complete each project in the proposal. Tasks should be organized by the four budget categories, as applicable:

- (a) Project Administration
- (b) Land Purchase/Easement
- (c) Planning/Design/Engineering/Environmental Documentation
- (d) Construction/Implementation

The Work Plan must also identify the anticipated deliverables for each task. The Work Plan is limited to seven pages (minimum 10-point type font) per project. Any information included beyond the seven pages (for each project) will not be reviewed. Maps and figures will not count against the page limit and are encouraged to be included if they provide substantive information.

EXHIBIT C – Work Plan provides an example of how the Work Plan should be structured and the level of detail necessary for each task description. The form for completing Attachment 2 can be found on the webpage listed in the Forward. Applicants must use the provided form when completing the Work Plan. Applicants who do not use the required template will be asked to resubmit their Work Plan, and their application will be considered incomplete until an acceptable Work Plan is submitted.

### **ATTACHMENT 3. BUDGET**

For the "AttachmentName" in the naming convention of GRanTS, use "Budget" for this attachment.

Attachment 3 must include the estimated capital costs of each project in the application. For the Project Budget Table, costs must be organized in a manner that is consistent with the Work Plan. For example, if the Work Plan describes projects at the subtask level, the Budget must also present costs at the subtask level. In addition to the Project Budget Table, the applicant must provide a description explaining how the values were derived. Refer to EXHIBIT D – Budget for guidance on how to prepare Attachment 3 that also includes a Budget Table template to be completed for each project by the Applicant. The form for completing Attachment 3 can be found on the webpage listed in the Forward. The description must not exceed three pages per project using a minimum 10-point font. If the applicant is in a Cooperative Funding Area, the explanation of how values were derived is not required.

### **ATTACHMENT 4. SCHEDULE**

For the "AttachmentName" in the naming convention of GRanTS, use "Schedule" for this attachment.

Attachment 4 must include a Schedule for implementation of each project. The schedule must show the start and end dates as well as milestones for each task contained in the Work Plan and, at minimum, be presented in either tabular form per the template. Applicants must include a reasonable estimate of the end date, including time for any final reports and invoicing.

Refer to EXHIBIT E – Schedule that includes a Schedule Table template. The form for completing Attachment 4 can be found on the webpage listed in the Forward. Applicant may also describe how the schedule shown is realistic, reasonable, and accomplishable based on the state of project development (such as design phase, status of permitting, and environmental documentation). The description must not exceed two pages per project using a minimum 10-point font. If the applicant is in a Cooperative Funding Area, only the schedule template is required; no further information is required.

### ***ATTACHMENT 5. Disadvantaged Community or Economically Distressed Area***

For the “AttachmentName” in the naming convention of GRanTS, use “DAC” for this attachment.

Attachment 5 is not required for all projects. Please utilize this attachment if the project has supporting information to establish the MHI such as an income survey.

### ***ATTACHMENT 6. CLIMATE CHANGE***

Attachment 6 is requested for all applicants and will be required prior to agreement execution. Applicants should answer the following questions regarding their organization, and include copies of the requested information:

1. Does the organization have a primary point of contact for climate change? (Yes/no; if yes, who is it and to what position in the organization does that person report?)
2. Does the organization have a strategic business plan that considers climate change? (Yes/no; if yes, please submit a copy)
3. Has the organization adopted any policies or made any formal public statements about climate change? (Yes/no; if yes, please attach a copy)
4. Has the organization conducted a climate change vulnerability assessment? (Yes/no; if yes, please submit a copy)
5. How would you describe your organization’s capacity to adapt to the impacts of climate change? (Open ended; one-three paragraphs)

Answers to these questions/documents submitted as part of this attachment will not affect scoring.

### ***ATTACHMENT 7. Application Review Process***

Attachment 7 is required for all IRWM Regions in Cooperative Funding Areas that wish to not be scored. DWR advises applicants to submit a list of backup projects with their application (see Section VIII). Backup projects should be vetted and ranked using the same process as other projects included in the application. Applicants should submit the Attachment 7 form, found on the website listed in the forward.

## **VI. APPLICATION REVIEW PROCESS**

DWR will perform an initial eligibility and completeness review for each application **upon notification (via email referenced in the Foreword) to DWR that the Final Application has been submitted through GRanTS**. Applications will be screened for eligibility and completeness in accordance with Section IV of the 2022 Guidelines and Section II ELIGIBILITY of this PSP. The information provided by applicants in GRanTS, as well as Attachments 1 through 7 of the application, will be used to determine eligibility and completeness.

If an application is initially determined to be complete and eligible, the applicant will be notified via email and their application will move on to technical review. If an application is deemed to be incomplete and/or ineligible, DWR will notify the applicant by email and provide a list of additional documentation that must be provided. The applicant will have five (5) business days to provide the necessary documents/materials via GRanTS. DWR may allow more than 5 business days to provide the required documentation with sufficient justification on a case-by-case basis.

## **A. Cooperative Funding Areas**

If a Funding Area provides written assurance that the funding request will not exceed the available funding, the IRWM Regions within that Funding Area do not need to complete the full application (see section V.B.2 for information on which sections to complete). In these cases, the proposals will be checked for eligibility, cost-share waivers, and completeness, but the proposals will not be scored. DWR will review documentation (Attachment 7) submitted by the applicant to ensure that projects were vetted and selected via a competitive process that complies with Proposition 1 requirements.

## **B. Competitive Funding Areas**

Applications that are complete and eligible will proceed through technical review and be scored based on the scoring criteria presented in Table 4: Scoring Criteria. The scoring criteria are divided into two levels: Proposal Level and Project Level. The Proposal Level criteria will be used to evaluate the proposal and determine a Proposal Score. The Project Level criteria will be used to evaluate and score each individual project included in the proposal. Each application will receive an Average Project Score for DAC Implementation Projects and an Average Project Score for General Implementation Projects, which will be determined by summing each Individual Project Score, dividing the sum by the number of projects, and then rounding to the nearest whole number. Each Application will receive a DAC Application Score and a General Application Score. These scores will be determined by adding the respective Average Project Score and the Proposal Score.

Note that DWR reviewers may determine a minimum project score threshold (Low Score) based on the evaluation of all projects within each Funding Area. This Low Score will be specific to each Funding Area and project funding category (DAC Implementation Project or General Implementation Project). If a project contained within an application does not exceed the Low Score determined for the respective Funding Area and project funding category, the project will not be recommended for grant funding under this solicitation. The proposal score will not be re-calculated. The remaining project(s) in the proposal will not be negatively impacted should a project be excluded from the application due to a Low Score. If all the projects in an application do not exceed the Low Score, the application will be determined insufficient, and no grant funds will be awarded for that application.

# **VII. AWARD PROCESS**

Following technical review, awards for grant funding will be made consistent with the process outlined below.

The DAC Implementation Funding and General Implementation Funding will be awarded based on the DAC Application Score and General Application Score, respectively. A single application may receive funding from both the DAC Implementation Fund and the General Implementation Fund. For proposals that are not being scored, DAC Implementation Funds will be awarded by level of Disadvantaged Community benefit. Should the amount of funding requested for DAC Implementation Projects within a funding area exceed the available funding, DWR may award DAC Implementation Funding requests with funding from the General Implementation Funding category. Final Awards will be released upon approval by DWR's Director.

# **VIII. AGREEMENT EXECUTION**

Following Final Awards, DWR will enter into an agreement with each successful applicant, consistent with

Section IV.E of the 2022 Guidelines. If an awarded project fails to meet all the requirements or fails to progress in a timely fashion, DWR may remove the project from the agreement and allow a replacement project. The replacement project must be included on the list of vetted and ranked projects prepared for the Round 2 proposal and must be the next highest ranked project that is able to receive funding through this solicitation. If a project is replaced, the IRWM Region must provide DWR with written approval showing that the Funding Area approves of the funds staying within the IRWM Region and approves the replacement project. This is the final round of Proposition 1 IRWM funding; therefore, DWR strongly encourages applicants to have at least one eligible project ready as a backup project to ensure that all voter-approved funds can be spent in this round of the solicitation.

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**Table 4: Scoring Criteria<sup>4</sup>**

-	<b>Proposal Level Evaluation</b>	-	-	-	-
	<b>Questions</b>	<b>Evaluation Guidance and Scoring; the application must contain:</b>	<b>Leg Citation</b>	<b>Form/Question No.</b>	<b>Maximum Points Available</b>
<b>1</b>	If the IRWM region has been identified as an area where contaminants listed in AB 1249 exist, does the proposal contain project(s) that address the contaminant(s)?	Provide specific explanation of how the project(s) addresses existing AB 1249 contaminants (nitrate, arsenic, perchlorate, or hexavalent chromium contamination). (1 point) If the requirements of AB 1249 do not apply to the applicant’s IRWM region(s), full points awarded.	10541 (e)(14)	GRanTS Application	1
				<b>Maximum Score:</b>	<b>1</b>
	<b>Project Level Evaluation</b>				
	<b>Questions</b>	<b>Evaluation Guidance and Scoring; the application must contain:</b>	<b>Leg Citation</b>	<b>Form/Question No.</b>	<b>Maximum Points Available</b>
<b>2</b>	Does the budget leverage funds with other private, Federal, or local fund sources?	Budget contains non-state cost share and/or other fund sources. (1 point)	79707 (b)	Attachment 3	1
<b>3</b>	Does the Work Plan include a complete description of all tasks necessary to result in a completed project? Are all necessary and reasonable deliverables identified?	Tasks that will likely lead to a completed project and a brief description of those tasks and deliverables necessary to be submitted to DWR.  <ul style="list-style-type: none"> <li>• The Work Plan appears to be sufficiently complete, with all deliverables identified, and reasonable given the intent of the project. (3 points)</li> <li>• The Work Plan is generally complete and/or deliverables generally listed, but it appears pertinent information is missing or gaps in the scope of work are identified. (2 points)</li> <li>• The Work Plan is sparsely filled out, with minimal information and/or minimal deliverables listed. (1 point)</li> </ul>		Attachment 2	3
<b>4</b>	Collectively, are the Work Plan, Schedule, and Budget thorough, reasonable, justified, and consistent with each other?  Considerations include: Are the tasks shown in the Work Plan, Schedule and Budget consistent? Are the costs presented in the Budget backed up by and consistent with supporting justification and/or documentation? Is the Schedule reasonable considering the tasks presented in the Work Plan?	Tasks that will likely lead to a completed project and a brief description of those tasks and deliverables necessary to be submitted to DWR, including: Tasks shown in the Work Plan, Schedule and Budget that are generally consistent with each other indicating the project can be completed on time and within budget. (1 point) Costs presented in the Budget are supported by and consistent with supporting justification and/or documentation (such as hourly rates, consultant fees, etc.). (1 point) A Schedule that is reasonable considering the tasks presented in the Work Plan, which indicates the project will likely be completed by the end date listed in Attachment 6. (1 point)		Attachment 2,3, and 4	3

<sup>4</sup> These scoring criteria will not be used for applications from Cooperative Funding Areas and are consistent with the requirements included in Attachment 7.  
 Round 2 IRWM Implementation Grant Proposal Solicitation Package

5	<p>Is the primary benefit* claimed logical and reasonable given the information provided in the Work Plan?</p> <p>*For Decision Support Tools, non-physical (qualitative) benefits will be considered. For example, if a decision support tool will contribute to the design or operation of an implementation project, planned or potential quantitative benefits will also be considered.</p>	<p>A properly completed quantification of at least one (and up-to two) benefit(s) of each project.                      For physical (quantitative) benefit(s):</p> <ul style="list-style-type: none"> <li>Does the type of benefit claimed match the intended outcome of the proposed project as described in the narrative (Section C.1.)? (1 point)</li> <li>Is the benefit description and <u>quantitative</u> measure of benefit logical and reasonable given the information provided in the Work Plan? Does the claimed benefit use industry standard units of measure (as described in D.2)? (1 point)</li> </ul> <p>For non-physical benefit(s):</p> <ul style="list-style-type: none"> <li>Does the type of benefit claimed match the intended outcome of the proposed project as described in the narrative (Section C.1.)? (1 point)</li> <li>Is the benefit description and measure of benefit logical and reasonable given the information provided in the Work Plan? (1 point)</li> </ul>	N/A	GRanTS Application	2
6	Does the project provide physical benefits to more than one IRWM region and/or Funding Area?	A sufficient description of the benefits to more than one IRWM region and/or Funding Area. The description must include an explanation of the benefits to various IRWM regions and/or Funding Areas. (1 point)	79742(a)	GRanTS Application	1
7	If the proposed project addresses contamination per the requirements of AB1249, does the project provide safe drinking water to a small, disadvantaged community?	<ul style="list-style-type: none"> <li>Provide specific explanation of how the project provides safe drinking water to a small disadvantaged community as defined in the 2022 IRWM Guidelines. (1 point)</li> <li>Full points awarded if the project does not have contaminant issues per AB1249 requirements.</li> </ul>	10545	GRanTS Application	1
8	Does the proposed project employ new or innovative technology or practices?	<p>A reasonable explanation of how a project employs new or innovative technology or practices, including, but not limited to:</p> <ul style="list-style-type: none"> <li>Decision Support Tools that support the integration of multiple jurisdictions, new and/or innovative business approaches, technology and partnerships etc.</li> <li>Technologies that were developed and/or became accessible within the last ten years (e.g., Smart Meters, new apps, etc.)</li> <li>New applications of existing technologies</li> <li>Pilot studies seeking to test new technologies or management strategies for future implementation projects</li> </ul>	79707(e)	GRanTS Application	1
-	-	-	-	<b>Maximum Score:</b>	<b>13</b>

## EXHIBIT A – Additional Proposal and Project Eligibility Requirements

This exhibit provides guidance for how to address the proposal and project eligibility requirements that were not included in the 2022 Guidelines. Each proposal/project must meet all requirements in order to be eligible for funding.

### **PROPOSAL ELIGIBILITY**

#### **1. Respond to climate change**

DWR is committed to addressing the impacts of climate change on water supply to ensure that Californians have an adequate water supply, reliable flood control, and healthy ecosystems, now and in the future. Through the implementation of climate change mitigation and adaptation measures, DWR is taking a comprehensive, multi-pronged approach to water management that aligns state and local planning efforts and statutory responsibilities to ensure wise and equitable use of water.

The proposal must help water infrastructure systems adapt to climate change (CWC § 79741 (a)). In addition to the requirement that all projects must be included in an IRWM plan that “contributes to addressing the risks in the region to water supply and water infrastructure arising from climate change” (CWC §79742(e)), each proposal must include at least one project that directly responds to climate change by addressing the potential impacts of climate change, including but not limited to: sea level rise, reduced snowpack, increase in rainfall precipitation, sea water intrusion, etc. Note that while these examples were provided in Proposition 1, any project that helps water systems adapt to climate changes is acceptable.

#### **2. Contribute to regional water self-reliance**

In regions that depend on water from the Delta watershed, the overall proposal must help improve regional water self-reliance consistent with CWC §85021 (CWC §79141(c)). Each proposal must include one or more of the following project types: water use efficiency, water recycling, advanced water technologies, local and regional water supply projects, or improved regional coordination of local and regional water supply efforts.

### **GENERAL PROJECT ELIGIBILITY**

#### **3. If applicable, have an expected useful life of consistent with Government Code § 16727**

Each project must demonstrate a useful life of at least 15 years as required by Government Code §16727, as applicable. Grant agreements will require Local Project Sponsors to self-certify that the expected useful life of each project meets these requirements.

#### **4. Project Completion by December 31, 2027**

Each project must be completed by December 31, 2027. This includes the project administration and closeout of the project.

#### **5. Quantification of the claimed project benefit(s)**

Each project must have at least one quantified benefit related to the water management needs of the IRWM with the exception of Decision Support Tools, which may have qualitative benefits. Additionally, the project benefits must be public benefits. Applicant may report a secondary benefit which also must be quantified.

## EXHIBIT B – Work Plan

This exhibit provides guidance for developing the Work Plan that will be included in the grant agreement (for successful applicants). The Work Plan should be submitted as application Attachment 2 using the template provided on the web page. Work Plans that do not follow the formatting of the template will not be accepted and the application will be considered incomplete until an acceptable Work Plan is submitted. The Work Plan must include the tasks and sub-tasks, if necessary, for each project within the grant agreement. The Work Plan must include the following four categories:

- (a) Project Administration
- (b) Land Purchase/Easement (if applicable)
- (c) Planning/Design/Engineering/Environmental Documentation
- (d) Construction/Implementation

The Work Plan must also contain the following items:

- For each project, a concise description of each task needed to complete the project and the status of each task (including estimated % complete for those tasks already completed or underway prior to the execution of this Agreement). Also include a brief overview of work already completed and work to be performed.
- Grant reporting tasks including the submittal of Quarterly Progress Reports, Invoices, and Final Reports.
- A Project Performance Monitoring Plan for the project(s). Project Performance Monitoring Plan requirements are discussed below.
- A discussion of the status of acquisition of land or rights-of-way. If land and/or easement acquisition is not applicable, state as such.
- A listing of all anticipated permits
- If environmental compliance efforts have not been completed, include tasks for environmental compliance. Include any environmental mitigation or enhancement actions or tasks necessary to comply with recommended mitigation measures.
- Necessary plans and specifications.
- The capital P “Project” is used to refer to the full suite of projects and “project” refers to a single project. If the agreement has one Project, then Project should be used throughout the agreement.

An example workplan template is provided below. Individual tasks may vary; however, ensure they are consistent with the budget and schedule that will also be incorporated into the grant agreement. The language is suggested text and is not comprehensive.

## Grant Administration

**IMPLEMENTING AGENCY:** <GRANTEE> (Grantee)

**PROJECT DESCRIPTION:** The Grantee will administer these funds and respond to DWR's reporting and compliance requirements associated with the grant administration. This Grantee will act in a coordination role: disseminating grant compliance information to the project managers responsible for implementing the projects contained in this agreement, obtaining and retaining evidence of compliance (e.g., CEQA/NEPA documents, reports, monitoring compliance documents, labor requirements, etc.), obtaining data for progress reports from individual project managers, assembling and submitting progress reports to the State, and coordinating all invoicing and payment of invoices.

### Budget Category (a): Project Administration

#### Task 1: Agreement Administration

The Grantee will respond to DWR's reporting and compliance requirements associated with the grant administration and will coordinate with the project managers responsible for implementing the projects contained in this agreement.

#### Task 2: Invoicing

The Grantee will be responsible for compiling invoices for submittal to DWR. This includes collecting invoice documentation from each of the Local Project Sponsors and compiling the information into a DWR Invoice Packet.

#### **Deliverables:**

- Quarterly Invoices and associated backup documentation
- Advanced Payment documentation as per Paragraph 9 (if applicable)

#### Task 3: Reporting

The Grantee will be responsible for compiling progress reports for submittal to DWR. The Grantee will coordinate with Local Project Sponsor staff to retain consultants as needed to prepare and submit progress reports and final project completion reports for each project, as well as the grant completion report.

Reports will meet generally accepted professional standards for technical reporting and the requirements terms of the contract with DWR outlined in Exhibit F of this Agreement.

#### **Deliverables:**

- Quarterly Progress Reports
- Grant Completion Report

**PROJECT X: <Awarded Project Name> (as an example purpose only, not specific)**

**IMPLEMENTING AGENCY: <Agency Name / Local Project Sponsor Name>**

Is this a Disadvantaged Community Project? Yes No

DAC/EDA Benefit Level: [Choose an item.](#)

**PROJECT DESCRIPTION: <Brief Description of work that will be done, where, and the quantitative benefits that will be provided.>**

**Budget Category (a): Project Administration (if applicable) Instruction: If not applicable, it should say "Not applicable"**

#### Task 1: Project Management

Manage Grant Agreement including compliance with grant requirements, and preparation and submission of supporting grant documents and coordination with IRWM regional manager. Prepare invoices including relevant supporting documentation for submittal to DWR via as Grantee. This task also includes administrative responsibilities associated with the project such as coordinating with partnering agencies and managing consultants/contractors.

#### **Deliverables:**

- Invoices and associated backup documentation

#### Task 2: Reporting

Prepare progress reports detailing work completed during reporting period as outlined in Exhibit F of this Agreement. Submit reports to DWR.

Prepare Project Completion Report and submit to DWR no later than 90 days after project completion for DWR Project Manager's comment and review. The report shall be prepared and presented in accordance with guidance as outlined in Exhibit F.

#### **Deliverables:**

- Quarterly Project Progress Reports
- Project Completion Report
- Documentation (e.g., photo) of "Acknowledgment of Credit & Signage" per Standard Condition D.2

**Budget Category (b): Land Purchase/Easement (if applicable) Instruction: If not applicable, it should say "Not applicable"**

#### Task 3: Land Purchase

Approximate area of land to be purchased or easement(s) to be acquired. [{Add applicable detail }](#)

#### **Deliverables:**

- Documentation supporting property value (if purchased)
- All relevant documentation regarding property ownership transfer or acquisition of easement including final recorded deed, title report, etc.

**Budget Category (c): Planning/Design/Engineering/Environmental Documentation Instruction: If not applicable, it should say "Not applicable"**

#### Task 4: Feasibility Studies

Project Feasibility Studies were completed as part of the project development process. [<Add applicable detail>](#)

#### **Deliverables:**

- Relevant Feasibility Studies

#### Task 5: CEQA Documentation

**Instruction: Please use the first example if your project has not yet completed CEQA. Please include NEPA compliance in this section, if applicable.**

Complete environmental review pursuant to CEQA. Prepare all necessary environmental documentation. Prepare letter stating no legal challenges (or addressing legal challenges).

Or

A <MND/EIR/NOE> was filed for this project with the <enter State Clearinghouse and/or County> in <Month Year>. Prepare letter stating no legal challenges (or addressing legal challenges).

**Deliverables:**

- All completed CEQA documents as required
- Legal Challenges Letter

Task 6: Permitting

The following permits have been acquired for this project: <Insert description>. The following permits are anticipated to be acquired for this project: <Insert description>

**Deliverables:**

- Permits as required

Task 7: Design

<Insert description.>

**Deliverables:**

- Basis of Design Report
- 100% Design Plans and Specifications

Task 8: Project Monitoring Plan

Develop and submit a Project Monitoring Plan per Paragraph 16 for DWR's review and approval.

**Deliverables:**

- Project Monitoring Plan

**Budget Category (d): Construction/Implementation**

Task 9: Contract Services

This task must comply with the Standard Condition D.11 – Competitive Bidding and Procurements. Activities necessary (as applicable) to secure a contractor and award the contract, including develop bid documents, prepare advertisement and contract documents for construction contract bidding, conduct pre-bid meeting, bid opening and evaluation, selection of the contractor, award of contract, and issuance of notice to proceed.

<Add applicable detail>

**Deliverables:**

- Bid Documents
- Proof of Advertisement
- Award of Contract
- Notice to Proceed

Task 10: Construction Administration

This task includes managing contractor submittal review, answering requests for information, and issuing work directives. A full-time engineering construction observer will be on site for the duration of the project. Construction observer duties include documenting of pre-construction conditions, daily construction diary, preparing change orders, addressing questions of contractors on site, reviewing/ updating project schedule, reviewing contractor log submittals and pay requests, forecasting cash flow, notifying contractor if work is not acceptable. Upon completing the project, the DWR Certificate of Project Completion and record drawings will be provided to DWR. <Add applicable detail>

**Deliverables:**

- DWR Certificate of Project Completion
- Record Drawings

Task 11: Construction

Construction activities are outlined below.

11(a): Mobilization and Demobilization <Add applicable detail>

11(b): Site preparation will include <Add applicable detail>

11(c): Install, construct, excavate <Add applicable detail>

11(d): Improve <Add applicable detail>

**Deliverables:**

- Photographic Documentation of Progress



## EXHIBIT C – Budget

This exhibit provides guidance for developing the project budget table (below) and supporting documentation. The Budget should be submitted as an application Attachment 3 using the form provided on the web page listed in the Foreword.

Costs must be organized in a manner that is consistent with the Work Plan and Schedule that will be contained in the Grant Agreement. The estimate must at a minimum include the following for each individual project within the proposal:

- Land costs, planning and design costs, environmental compliance and documentation costs; construction costs shown by project task, or phase; and the construction contingency amount for the proposal.
- Non-State Cost share (i.e., Grantee’s non-state cost share) can include, subject to DWR approval, eligible costs borne by the applicant or individual Local Project Sponsor after January 1, 2015. An average minimum cost share of 50% of the total project costs in each proposal is required. The cost share for DAC and/or EDA projects may be waived or reduced based on providing accurate and adequate information that confirms the DAC and/or EDA meets the MHI requirement, and per the PSP and 2022 Guidelines. Grant administration costs **are not** included in the calculation of total project costs; however, administration costs for individual projects **are** included in total project costs.
- Any other funding being used that will not come from this grant must be entered in column (c) of Table 2 of the Project Budget Table, below. State Revolving Funds (SRF) and American Recovery and Reinvestment Act funds are not considered State funds and may be used as Non-State cost share.
- Tasks that are completely supported by cost share.

*Project Budget Table (below):* The detailed budget should be commensurate with the design stage that is being submitted and be broken out by task used in the Work Plan. All applicants must submit complete Project Budget Tables for every project in their proposal. However, applicants whose applications will not be scored (i.e., applicants in Cooperative Funding Areas that have project selection processes that meet the Proposition 1 requirements) are not required to submit backup documentation to support the included costs. For these applicants, only the completed tables are required. For all other applicants, documentation should be included, where applicable, to support the costs included in each budget category. The detailed budget should clearly identify a contingency amount (i.e., contingency percentage) applied to the project budget. Grantees must provide supplemental detailed costs for each project as follows:

### **Row (a) Project Administration**

Detail must include hourly wage paid by discipline; number of hours to be expended for administration; and costs shown for equipment or supplies, with back-up data provided. Project administrative costs should not be shown as a percentage of a cost. This budget category includes all such costs for the grant recipient and any partner agencies or organizations. Grantees are required to limit administrative costs proposed to be reimbursed by the grant to less than 10% of the total amount requested in grant funds (this includes grant administration and project administration costs). DWR may approve more than 10% on a case-by-case basis with proper justification after award. Such administrative costs expenses are necessary costs incidentally, but directly related to the project.

### **Row (b) Land Purchase/Easement**

Detail must distinguish whether the cost is for purchase of land or an easement to use the land. If land purchase is to be included in the cost share, include whether it is a proposed acquisition or whether the land is already owned by the applicant or partner agency/organization. If the land is already owned by the applicant or partner agency/organization, indicate when the land was purchased (to be an eligible cost it must be after January 1, 2015), the purchase price and what methodology was used to determine land value. The purchase price for that portion of the land that will be dedicated to the proposal may, in certain circumstances, be included as cost share.

**Row (c) Planning/Design/Engineering/Environmental Documentation**

Detail must include hourly wage paid by discipline, number of hours, and the total cost for the item (i.e., 60% design, final design (See below for discussion of design stages), engineering field investigations, preparation of CEQA documentation etc.). If any contingency

amounts are used in the estimate, provide an explanation for the rationale used to determine the contingency percentage.

**Row (d) Construction/Implementation**

The estimate should include the quantity of materials used, unit cost, number of units, and, if possible, should have separate costs for labor, equipment, and materials. An estimate of all environmental compliance, mitigation, and enhancement costs should also be included.

The costs to administer and manage construction of the project must be presented. Provide a discussion of the method used to determine this cost. If the estimate will be based on expected hours of effort, list the hours, by discipline, unit cost, equipment costs, and total cost.

Include detail for any legal services costs required to support the project. Include the costs associated with obtaining licenses and permits. Include any costs of monitoring and assessment required during the construction/initial implementation of the project. Do not include any monitoring and assessment costs for efforts required after project construction is complete as those costs are ineligible.

For any implementation costs, show as much detail as required to support the implementation costs shown in Row (d).

**Row (e) Proposal Total (Sum rows (a) through (d) for each column)**

Sum each of the columns in Project Budget Table to determine the total cost of the project.

## AGREEMENT BUDGET SUMMARY

### Minimum Required Cost Share for Agreement:

	<b>PROJECTS</b>	<b>Grant Amount</b>	<b>Required Cost Share: Non-State Fund Source</b>	<b>Other Cost Share</b>	<b>Total Cost</b>	<b>Cost Share Waiver Received</b>
	Grant Administration	\$0	\$0	\$0	\$0	N/A
1	Project 1: <Title>	\$0	\$0	\$0	\$0	
X	Project X: <Title>	\$0	\$0	\$0	\$0	
	<b>GRAND TOTAL</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	

<Non-State funds represents the 50% required cost share by Proposition 1 and could be from any non-state source (County, Federal, any other agency share, etc.). Federal funding that passes through the California Governor’s Office of Emergency Services is considered from a federal source.

*Other cost share* refers to the costs for the remainder of the project that are not required as part of the non-state cost share requirement.

**Grant Administration**

Implementing Agency:

<b>BUDGET CATEGORY</b>	<b>Grant Amount</b>	<b>Required Cost Share: Non-State Fund Source*</b>	<b>Other Cost Share**</b>	<b>Total Cost</b>
(a) Project Administration	\$0	\$0	\$0	<b>\$0</b>
<b>TOTAL COSTS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

NOTES:

\* List fund source(s).

\*\*List sources of Other Cost Share, including other State Fund Sources.

**PROJECT X: <Project X Name>**

Implementing Agency:

<b>BUDGET CATEGORY</b>	<b>Grant Amount</b>	<b>Required Cost Share: Non-State Fund Source*</b>	<b>Other Cost Share**</b>	<b>Total Cost</b>
(a) Project Administration	\$0	\$0	\$0	\$0
(b) Land Purchase / Easement		\$0	\$0	\$0
(c) Planning / Design / Engineering / Environmental Documentation	\$0	\$0	\$0	\$0
(d) Construction / Implementation	\$0	\$0	\$0	\$0
<b>TOTAL COSTS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

NOTES:

Eligible costs for each Budget Category will only be approved for reimbursement and Cost Share for the work completed within the date ranges listed in Exhibit C.

\* List fund source(s).

\*\*List sources of Other Cost Share, including other State Fund Sources.

## EXHIBIT D – Schedule

This exhibit provides a template for developing the Project Schedule (below), which is required for Attachment 4 of the Application. The Schedule should be submitted as an attachment using the template provided on the web page listed in the Foreword. The supporting documentation will be necessary for the development of the Grant Agreement.

The Schedule must be organized in a manner that is consistent with the Work Plan and Budget that will be contained in the Grant Agreement. The Schedule Table presented below is a template that must be completed for each project in the proposal. Only the required budget categories have been included below. If applicable, additional rows must be added under the relevant categories to present the schedule for each task described in Attachment 2, Work Plan. Please use the following guidance when filling out the schedule:

- Grant Administration ends at least three months after the completion of the last project.
- Project Administration ends at least one month after the completion of construction.
- If Planning/ Design / Engineering / Environmental Documentation overlaps Construction/Implementation, please add a footnote explaining the overlap.

**Grant Administration**

<b>Categories</b>	<b>Start Date</b>	<b>End Date</b>
(a) Project Administration	MM/DD/YYYY	MM/DD/YYYY

**Project X: <Awarded Project X Name>**

<b>Categories</b>	<b>Start Date</b>	<b>End Date</b>
(a) Project Administration	MM/DD/YYYY	MM/DD/YYYY
(b) Land Purchase / Easement	MM/DD/YYYY	MM/DD/YYYY
(c) Planning/ Design / Engineering / Environmental Documentation	MM/DD/YYYY	MM/DD/YYYY
(d) Construction/ Implementation	MM/DD/YYYY	MM/DD/YYYY

<Add footnote if needed to explain any overlap of construction with Category c or d>

## EXHIBIT E – Advanced Payment

Water Code §10551 authorizes advanced payment by DWR to eligible entities (Grantees and/or Local Project Sponsors) for eligible projects. The following outlines the general requirements for advanced payment eligibility, application process, accountability reporting, and default provisions. **Additional detail regarding the specific requirements for advanced payment will be provided in individual grant agreements.**

### Eligible Entities

Eligible entities are as follows:

- Nonprofit organizations (as defined in the 2022 Guidelines, Appendix B)
- Disadvantaged communities (as defined in 2022 Guidelines, Appendix B). DWR will consider the service area of the Local Partner Sponsor when determining if the organization is a disadvantaged community.
- Proponents of projects that benefit a DAC (Only the portion of the project that benefits a DAC may be eligible for advanced payment.)

### Eligible Projects

To be eligible for advanced payment, projects must be included in their IRWM region's adopted IRWM Plan and awarded less than \$1,000,000 in IRWM grant funds. Fifty (50) percent of the grant award may be advanced; the remaining 50 percent of the grant award will be reimbursed in arrears.

### Process to Apply for Advanced Payment

Within 90 calendar days of execution of a grant agreement with DWR, the Grantee shall provide DWR with the list of projects for which advanced payment is requested. Failure to provide this list within 90 calendar days of execution of the grant agreement shall result in the forfeiture of the right to request or receive advanced payment for the grant. The list of projects shall include the following:

- A description of each project requesting advanced funds, consistent with the executed grant agreement.
- The names of the entities that will receive the funding for each project, including, but not limited to, an identification as to whether the project proponent(s) are nonprofit organizations or a DAC, or whether the project benefits a DAC.
- An update on project status and any reimbursable funds expended to date.
- Statement acknowledging that advanced funds will be placed in a non-interest-bearing account.
- The Accountability Report's Funding Plan and Expenditure Report spreadsheet must include:
  - a) Budget for each project.
  - b) Anticipated quarterly schedule for each project, showing how the AP funds will be expended including associated activities and deliverables.
  - c) Any reimbursable funds expended to date.
- Description of project proponent's financial capacity to administer the advanced funds and complete the project once the advanced funds have been expended.
- Any other information that DWR may deem necessary.

Within 60 days of receiving all required project information and that information being deemed adequate at the sole discretion of DWR, DWR may authorize payment of 50 percent of the grant award for the qualified project(s), or lesser amount as may be requested by Grantee.

The Grantee will be responsible for the timely distribution of the advanced funds to the individual Local Project Sponsors.



## Accountability Report Requirements

Upon receipt of advanced payment, there are requirements and responsibilities that must be met by the Grantee. As required in Water Code §10551(c)(3), the Grantee shall, on a quarterly basis, provide an Accountability Report to DWR regarding the expenditure and use of the advanced grant funds that provides, at a minimum, the following information:

- An itemization as to how advanced payment funds have been expended, including documentation that advanced funds were spent on eligible reimbursable costs.
- An updated funding plan with project itemization describing how any remaining advanced payment funds will be expended.
- Documentation that the funds were placed/remain in a non-interest-bearing account, and the dates of withdrawals of funds from that account during the reporting period.
- Proof of distribution of advanced funds to Local Project Sponsor(s), if applicable.

## Default of Advanced Payment Obligations

Any of the following actions will be considered a default on the advanced payment obligations and shall result in DWR requesting the Grantee return all or a portion of the advanced funds and may result in a breach of the grant agreement:

- Failure to expend the advanced funds within the timeframe agreed to by DWR and the Grantee when the advanced payment request was approved unless an extension has been approved by DWR.
- Failure by Grantee to submit complete and accurate quarterly Accountability Reports by the required due dates, unless otherwise approved by DWR.
- Failure to deposit funds in a non-interest-bearing account.
- Use of Advance Payment funds for ineligible expenses and/or activities not consistent with the grant agreement.
- Inappropriate use of funds, as deemed by DWR.
- Failure to comply with any other term of the executed grant agreement.

Notwithstanding Water Code §10551(c)(4), if advanced funds are not fully expended by project completion or by the grant agreement termination date, whichever is earlier, the unused grant funds shall be returned to DWR within 60 calendar days.

THE NATURAL RESOURCES AGENCY  
DEPARTMENT OF WATER RESOURCES  
DIVISION OF REGIONAL ASSISTANCE